



HEALTH QUARTERLY STATEMENT

AS OF MARCH 31, 2018
OF THE CONDITION AND AFFAIRS OF THE

Empire HealthChoice HMO, Inc.

NAIC Group Code	0671 (Current)	0671 (Prior)	NAIC Company Code	95433	Employer's ID Number	13-3874803
Organized under the Laws of	New York			State of Domicile or Port of Entry		NY
Country of Domicile	United States of America					
Licensed as business type:	Health Maintenance Organization					
Is HMO Federally Qualified?	Yes [] No [X]					
Incorporated/Organized	03/05/1996			Commenced Business 03/19/1996		
Statutory Home Office	14 Wall Street (Street and Number)			New York , NY, US 10005 (City or Town, State, Country and Zip Code)		
Main Administrative Office	14 Wall Street (Street and Number)			212-563-5570 (Area Code) (Telephone Number)		
	New York , NY, US 10005 (City or Town, State, Country and Zip Code)					
Mail Address	14 Wall Street (Street and Number or P.O. Box)			New York , NY, US 10005 (City or Town, State, Country and Zip Code)		
Primary Location of Books and Records	2 Gannett Drive (Street and Number)			866-583-6182 (Area Code) (Telephone Number)		
	South Portland , ME, US 04106 (City or Town, State, Country and Zip Code)					
Internet Website Address	www.empireblue.com					
Statutory Statement Contact	Dan Wolke (Name)			317-488-6245 (Area Code) (Telephone Number)		
	dan.wolke@anthem.com (E-mail Address)			317-488-6200 (FAX Number)		

OFFICERS

President, Chairperson and Chief Executive Officer	Lawrence Glenn Schreiber	Treasurer	Eric (Rick) Kenneth Noble
Secretary	Jay Harry Wagner	Assistant Secretary	Patrick James O'Keeffe

OTHER

John Bennett Stephenson, Vice President and Medicaid Plan President	Mark Daniel Justus, Valuation Actuary	Vincent Edward Scher, Assistant Treasurer
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DIRECTORS OR TRUSTEES

Lawrence Glenn Schreiber	Grace Helen McCabe	Lois Susan Freedman
Patrick James O'Keeffe	Randall Vincent Perricone	

State of Maine SS:
County of Cumberland

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Lawrence Schreiber
Lawrence Glenn Schreiber
President

Jay Harry Wagner
Jay Harry Wagner
Secretary

Eric (Rick) Kenneth Noble
Eric (Rick) Kenneth Noble
Treasurer

Subscribed and sworn to before me this 9th day of May, 2018
Sharon W. Berry

- a. Is this an original filing? Yes [X] No []
b. If no,
1. State the amendment number.....
2. Date filed
3. Number of pages attached.....

SHARON W. BERRY
Notary Public, Maine
My Commission Expires January 8, 2024

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ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	368,027,210	0	368,027,210	364,393,290
2. Stocks:				
2.1 Preferred stocks			0	
2.2 Common stocks			0	
3. Mortgage loans on real estate:				
3.1 First liens			0	
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)			0	
4.2 Properties held for the production of income (less \$ encumbrances)			0	
4.3 Properties held for sale (less \$ encumbrances)			0	
5. Cash (\$3,156,269), cash equivalents (\$0) and short-term investments (\$)	3,156,269		3,156,269	3,817,053
6. Contract loans (including \$ premium notes)			0	
7. Derivatives			0	
8. Other invested assets			0	
9. Receivables for securities			0	
10. Securities lending reinvested collateral assets	10,013,788		10,013,788	59,142
11. Aggregate write-ins for invested assets	1,784,723	1,694,987	89,736	126,404
12. Subtotals, cash and invested assets (Lines 1 to 11)	382,981,990	1,694,987	381,287,003	368,395,889
13. Title plants less \$ charged off (for Title insurers only)			0	
14. Investment income due and accrued	3,941,008	0	3,941,008	3,584,609
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	17,064,568	1,823,233	15,241,335	17,418,845
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)			0	
15.3 Accrued retrospective premiums (\$) and contracts subject to redetermination (\$72,800,908)	72,800,908	0	72,800,908	62,896,113
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	143,487	0	143,487	4,282,660
16.2 Funds held by or deposited with reinsured companies			0	
16.3 Other amounts receivable under reinsurance contracts			0	
17. Amounts receivable relating to uninsured plans	651,720	145,511	506,209	349,371
18.1 Current federal and foreign income tax recoverable and interest thereon	34,293,036	0	34,293,036	40,973,746
18.2 Net deferred tax asset	6,721,858	0	6,721,858	4,899,816
19. Guaranty funds receivable or on deposit			0	
20. Electronic data processing equipment and software			0	
21. Furniture and equipment, including health care delivery assets (\$)			0	
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	
23. Receivables from parent, subsidiaries and affiliates			0	0
24. Health care (\$14,756,878) and other amounts receivable	36,287,472	21,530,594	14,756,878	7,873,383
25. Aggregate write-ins for other than invested assets	24,154,981	2,120,537	22,034,444	14,544,211
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	579,041,028	27,314,862	551,726,166	525,218,643
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	
28. Total (Lines 26 and 27)	579,041,028	27,314,862	551,726,166	525,218,643
DETAILS OF WRITE-INS				
1101. Investment Guaranty Fund (Blue Cross and Blue Shield Association)	1,784,723	1,694,987	89,736	126,404
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	1,784,723	1,694,987	89,736	126,404
2501. New York Assessment	10,934,796		10,934,796	9,080,065
2502. Premium Tax Recoverable	4,794,668		4,794,668	369,976
2503. Stop Loss Receivables (NY Regulation 4321, 4322 & 4327)	3,674,914		3,674,914	2,902,619
2598. Summary of remaining write-ins for Line 25 from overflow page	4,750,603	2,120,537	2,630,066	2,191,551
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	24,154,981	2,120,537	22,034,444	14,544,211

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$0 reinsurance ceded)	100,181,757	0	100,181,757	141,657,221
2. Accrued medical incentive pool and bonus amounts	9,358,314	0	9,358,314	12,541,971
3. Unpaid claims adjustment expenses	2,991,186	0	2,991,186	4,213,467
4. Aggregate health policy reserves, including the liability of \$0 for medical loss ratio rebate per the Public Health Service Act	29,022,707	0	29,022,707	36,342,728
5. Aggregate life policy reserves			0	
6. Property/casualty unearned premium reserve			0	
7. Aggregate health claim reserves	20,922	0	20,922	37,905
8. Premiums received in advance	80,195,897	0	80,195,897	1,747,849
9. General expenses due or accrued	19,705,123	0	19,705,123	6,741,074
10.1 Current federal and foreign income tax payable and interest thereon (including \$ on realized gains (losses))			0	
10.2 Net deferred tax liability			0	
11. Ceded reinsurance premiums payable			0	
12. Amounts withheld or retained for the account of others.....	1,870,085	0	1,870,085	1,834,978
13. Remittances and items not allocated	5,988,479	0	5,988,479	5,546,143
14. Borrowed money (including \$ current) and interest thereon \$ (including \$ current)			0	
15. Amounts due to parent, subsidiaries and affiliates	67,059,319	0	67,059,319	97,940,602
16. Derivatives			0	
17. Payable for securities			0	
18. Payable for securities lending	10,013,788	0	10,013,788	59,142
19. Funds held under reinsurance treaties (with \$ authorized reinsurers, \$ unauthorized reinsurers and \$ certified reinsurers).....			0	
20. Reinsurance in unauthorized and certified (\$) companies			0	
21. Net adjustments in assets and liabilities due to foreign exchange rates			0	
22. Liability for amounts held under uninsured plans			0	
23. Aggregate write-ins for other liabilities (including \$23,820,041 current)	24,506,436	0	24,506,436	12,956,799
24. Total liabilities (Lines 1 to 23)	350,914,013	0	350,914,013	321,619,879
25. Aggregate write-ins for special surplus funds	XXX	XXX	0	19,157,298
26. Common capital stock	XXX	XXX	2	2
27. Preferred capital stock	XXX	XXX		
28. Gross paid in and contributed surplus	XXX	XXX	86,999,998	86,999,998
29. Surplus notes	XXX	XXX		
30. Aggregate write-ins for other than special surplus funds	XXX	XXX	160,381,882	160,381,882
31. Unassigned funds (surplus)	XXX	XXX	(46,569,729)	(62,940,416)
32. Less treasury stock, at cost:				
32.1 shares common (value included in Line 26 \$)	XXX	XXX		
32.2 shares preferred (value included in Line 27 \$)	XXX	XXX		
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	200,812,153	203,598,764
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	551,726,166	525,218,643
DETAILS OF WRITE-INS				
2301. Miscellaneous Medicare Liabilities	20,466,040		20,466,040	8,669,474
2302. Escheat Liability	2,047,386		2,047,386	2,029,044
2303. Other Premium Liability	1,203,141		1,203,141	1,598,957
2398. Summary of remaining write-ins for Line 23 from overflow page	789,869	0	789,869	659,324
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	24,506,436	0	24,506,436	12,956,799
2501. Estimated ACA Health Insurer fee	XXX	XXX	0	19,157,298
2502.	XXX	XXX		
2503.	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	0	19,157,298
3001. Required Reserves	XXX	XXX	160,381,882	160,381,882
3002.	XXX	XXX		
3003.	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	160,381,882	160,381,882

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months	XXX	262,676	418,164	1,650,248
2. Net premium income (including \$0 non-health premium income).....	XXX	247,617,616	334,128,093	1,283,055,054
3. Change in unearned premium reserves and reserve for rate credits.....	XXX	53,284	178,339	1,527,172
4. Fee-for-service (net of \$0 medical expenses).....	XXX			
5. Risk revenue	XXX			
6. Aggregate write-ins for other health care related revenues	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues	XXX	0	0	0
8. Total revenues (Lines 2 to 7)	XXX	247,670,900	334,306,432	1,284,582,226
Hospital and Medical:				
9. Hospital/medical benefits		157,740,738	211,394,323	888,181,934
10. Other professional services		11,592,275	12,408,564	54,477,472
11. Outside referrals		7,355,075	12,369,472	45,592,064
12. Emergency room and out-of-area		6,998,483	8,639,184	35,198,793
13. Prescription drugs		21,731,414	46,425,961	191,011,859
14. Aggregate write-ins for other hospital and medical	0	(772,296)	(680,417)	(3,679,156)
15. Incentive pool, withhold adjustments and bonus amounts		5,986,600	4,065,801	28,195,585
16. Subtotal (Lines 9 to 15)	0	210,632,289	294,622,888	1,238,978,551
Less:				
17. Net reinsurance recoveries		0	(587,113)	985,068
18. Total hospital and medical (Lines 16 minus 17)	0	210,632,289	295,210,001	1,237,993,483
19. Non-health claims (net)				
20. Claims adjustment expenses, including \$5,079,584 cost containment expenses		7,767,501	11,728,563	49,806,835
21. General administrative expenses		36,064,319	22,688,094	95,110,160
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only)		(7,502,129)	6,728,637	(14,228,785)
23. Total underwriting deductions (Lines 18 through 22).....	0	246,961,980	336,355,295	1,368,681,693
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	708,920	(2,048,863)	(84,099,467)
25. Net investment income earned		2,883,546	2,949,827	11,761,729
26. Net realized capital gains (losses) less capital gains tax of \$(43,335)		(305,402)	688,994	594,077
27. Net investment gains (losses) (Lines 25 plus 26)	0	2,578,144	3,638,821	12,355,806
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$) (amount charged off \$77,734)].....		(77,734)	(187,572)	(547,723)
29. Aggregate write-ins for other income or expenses	0	(140,413)	(128,006)	(407,607)
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	3,068,917	1,274,380	(72,698,991)
31. Federal and foreign income taxes incurred	XXX	6,724,046	6,315,737	(34,306,881)
32. Net income (loss) (Lines 30 minus 31)	XXX	(3,655,129)	(5,041,357)	(38,392,110)
DETAILS OF WRITE-INS				
0601.	XXX			
0602.	XXX			
0603.	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)	XXX	0	0	0
0701.	XXX			
0702.	XXX			
0703.	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)	XXX	0	0	0
1401. Pool Recoveries – Stop Loss		(772,296)	(680,417)	(3,679,156)
1402.				
1403.				
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	(772,296)	(680,417)	(3,679,156)
2901. Miscellaneous (expense) income		(140,413)	(128,006)	(407,607)
2902.				
2903.				
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	(140,413)	(128,006)	(407,607)

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
CAPITAL AND SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	203,598,764	223,931,842	223,931,842
34. Net income or (loss) from Line 32	(3,655,129)	(5,041,357)	(38,392,110)
35. Change in valuation basis of aggregate policy and claim reserves			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$15,695	59,042	(102,067)	(42,197)
37. Change in net unrealized foreign exchange capital gain or (loss)			
38. Change in net deferred income tax	1,837,737	5,380,147	(21,048)
39. Change in nonadmitted assets	(1,028,261)	(2,418,808)	3,122,277
40. Change in unauthorized and certified reinsurance	0		
41. Change in treasury stock	0		
42. Change in surplus notes	0		
43. Cumulative effect of changes in accounting principles.....			
44. Capital Changes:			
44.1 Paid in	0		
44.2 Transferred from surplus (Stock Dividend).....	0		
44.3 Transferred to surplus.....			
45. Surplus adjustments:			
45.1 Paid in	0		15,000,000
45.2 Transferred to capital (Stock Dividend)			
45.3 Transferred from capital			
46. Dividends to stockholders			
47. Aggregate write-ins for gains or (losses) in surplus	0	0	0
48. Net change in capital & surplus (Lines 34 to 47)	(2,786,611)	(2,182,085)	(20,333,078)
49. Capital and surplus end of reporting period (Line 33 plus 48)	200,812,153	221,749,757	203,598,764
DETAILS OF WRITE-INS			
4701.			
4702.			
4703.			
4798. Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	0

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	317,996,500	380,478,077	1,276,185,355
2. Net investment income	3,291,718	4,725,857	16,431,321
3. Miscellaneous income	0		
4. Total (Lines 1 to 3)	321,288,218	385,203,934	1,292,616,676
5. Benefit and loss related payments	251,169,220	299,615,051	1,228,404,193
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	31,967,424	35,798,925	144,216,688
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$ (43,335) tax on capital gains (losses)	1	0	(1,042,901)
10. Total (Lines 5 through 9)	283,136,645	335,413,976	1,371,577,980
11. Net cash from operations (Line 4 minus Line 10)	38,151,573	49,789,958	(78,961,304)
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	27,533,951	71,610,317	150,720,653
12.2 Stocks	0		
12.3 Mortgage loans	0		
12.4 Real estate	0		
12.5 Other invested assets	0		
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0		(900)
12.7 Miscellaneous proceeds	37,407	1,529,323	21,392,759
12.8 Total investment proceeds (Lines 12.1 to 12.7)	27,571,358	73,139,640	172,112,512
13. Cost of investments acquired (long-term only):			
13.1 Bonds	32,206,442	22,921,123	109,054,150
13.2 Stocks	0		
13.3 Mortgage loans	0		
13.4 Real estate	0		
13.5 Other invested assets	0		
13.6 Miscellaneous applications	9,991,314	2,359,049	65,353
13.7 Total investments acquired (Lines 13.1 to 13.6)	42,197,756	25,280,172	109,119,503
14. Net increase (or decrease) in contract loans and premium notes	0		
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(14,626,398)	47,859,468	62,993,009
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0		
16.2 Capital and paid in surplus, less treasury stock	0		15,000,000
16.3 Borrowed funds	0		
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0		
16.5 Dividends to stockholders	0		
16.6 Other cash provided (applied)	(24,185,959)	(54,691,757)	2,775,542
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(24,185,959)	(54,691,757)	17,775,542
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) ..	(660,784)	42,957,669	1,807,247
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	3,817,053	2,009,806	2,009,806
19.2 End of period (Line 18 plus Line 19.1)	3,156,269	44,967,475	3,817,053

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001.			
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EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year	134,609	49,084	16,068	0	0	0	0	69,457	0	0
2. First Quarter	86,816	0	13,170					73,646		
3. Second Quarter	0									
4. Third Quarter	0									
5. Current Year	0									
6. Current Year Member Months	262,676		41,854					220,822		
Total Member Ambulatory Encounters for Period:										
7. Physician	299,544		21,784					277,760		
8. Non-Physician	180,114		9,322					170,792		
9. Total	479,658	0	31,106	0	0	0	0	448,552	0	0
10. Hospital Patient Days Incurred	39,746		859					38,887		
11. Number of Inpatient Admissions	5,690		253					5,437		
12. Health Premiums Written (a)	247,617,616	(1,784,202)	34,073,150					215,248,555		80,113
13. Life Premiums Direct	0									
14. Property/Casualty Premiums Written	0									
15. Health Premiums Earned	247,670,900	(1,784,202)	34,099,840					215,275,203		80,059
16. Property/Casualty Premiums Earned	0									
17. Amount Paid for Provision of Health Care Services.....	255,308,393	26,256,914	27,800,869					201,188,508		62,102
18. Amount Incurred for Provision of Health Care Services	210,632,289	(9,554,163)	25,061,251					195,056,719		68,482

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$215,248,555

STATEMENT AS OF MARCH 31, 2018 OF THE Empire HealthChoice HMO, Inc.

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

[illegible]

88

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)	47,249,377	21,127,422	9,866,862	11,708,929	57,116,239	59,922,464
2. Medicare Supplement		0		0	0	0
3. Dental Only		0		0	0	0
4. Vision Only		0		0	0	0
5. Federal Employees Health Benefits Plan		0		0	0	0
6. Title XVIII - Medicare	55,436,901	158,549,806	17,928,489	60,684,047	73,365,390	81,764,690
7. Title XIX - Medicaid		0		0	0	0
8. Other health	5,138	56,964	2,073	12,279	7,211	7,972
9. Health subtotal (Lines 1 to 8)	102,691,416	179,734,192	27,797,424	72,405,255	130,488,840	141,695,126
10. Healthcare receivables (a)	8,102,845	28,184,627	0	0	8,102,845	0
11. Other non-health					0	0
12. Medical incentive pools and bonus amounts	8,674,738	495,519	7,055,532	2,302,782	15,730,270	12,541,971
13. Totals (Lines 9-10+11+12)	103,263,309	152,045,084	34,852,956	74,708,037	138,116,265	154,237,097

(a) Excludes \$ 0 loans or advances to providers not yet expensed.

STATEMENT AS OF MARCH 31, 2018 OF THE Empire HealthChoice HMO, Inc.
NOTES TO FINANCIAL STATEMENTS

For the purposes of the quarterly interim financial information, it is presumed that the users of the interim financial information have read or have access to the Annual Statement as of December 31, 2017. This presentation addresses only significant events occurring since the last Annual Statement.

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The accompanying financial statements of Empire HealthChoice HMO, Inc. (the “Company”) have been prepared in conformity with the National Association of Insurance Commissioners’ (“NAIC”) *Annual Statement Instructions* and in accordance with accounting practices prescribed or permitted by the New York State Department of Financial Services (the “Department”). The Department has adopted accounting policies found in the NAIC *Accounting Practices and Procedures Manual* (“NAIC SAP”) as a component of prescribed accounting practices. Additionally, the Department has adopted certain prescribed accounting practices that differ from those found in NAIC SAP, which impact the Company, specifically 1) overdue premiums (in excess of 90 days) from state and local governments or any of its instrumentalities shall be admitted assets; in NAIC SAP, premiums over 90 days due are non-admitted; 2) certain estimated market stabilization reinsurance/ pooling recoverables, stop-loss recoverables, and reinsurance recoverables are admitted assets; in NAIC SAP, these recoverables are admitted only upon notification of the refund; 3) invested assets held for the benefit of out of state subscriber claims (Blue Cross and Blue Shield Association Investment Guaranty Fund) which exceeds 105% of the required amount to be held in trust are non-admitted assets; in NAIC SAP, these invested assets would be admitted; and 4) prepaid broker commissions are admitted assets; in NAIC SAP, prepaid broker commissions are nonadmitted assets. The Department has the right to permit other specific practices that deviate from prescribed practices. The Company has employed no permitted practices in preparing the accompanying statutory-basis financial statements.

A reconciliation of the Company’s net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the Department is shown below:

	SSAP #	F/S Page	F/S Line #	March 31, 2018	December 31, 2017
<u>Net Income</u>					
(1) Empire HealthChoice HMO, Inc. state basis (Page 4, Line 32, Columns 2 & 4)	XXX	XXX	XXX	\$ (3,655,129)	\$ (38,392,110)
(2) State Prescribed Practices that is an increase/(decrease) from NAIC SAP:				—	—
(3) State Permitted Practices that is an increase/(decrease) from NAIC SAP:				—	—
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	<u>\$ (3,655,129)</u>	<u>\$ (38,392,110)</u>
<u>Surplus</u>					
(5) Empire HealthChoice HMO, Inc. state basis (Page 3, Line 33, Columns 3 & 4)	XXX	XXX	XXX	\$ 200,812,153	\$ 203,598,764
(6) State Prescribed Practices that is an increase/(decrease) from NAIC SAP:					
Pooling/Stop Loss recoverable	6	2	25	3,674,914	2,902,619
BCA Investment Guaranty Fund	26	2	11	(1,694,987)	(1,659,058)
Prepaid Brokers' Commissions	29	2	25	1,002,251	771,872
Overdue local government premiums	6	2	15.1	1,141,753	846,314
(7) State Permitted Practices that is an increase/(decrease) from NAIC SAP:				—	—
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	<u>\$ 196,688,222</u>	<u>\$ 200,737,017</u>

NOTES TO FINANCIAL STATEMENTS

B. Use of Estimates in the Preparation of the Financial Statements

No significant change.

C. Accounting Policies

(1) - (5) No significant change.

(6) Loan-backed securities are stated at amortized cost. Pre-payment assumptions for loan-backed securities and structured securities were obtained from broker-dealer survey values or internal estimates. These assumptions are consistent with the current interest rate and economic environment. The retrospective adjustment method is used to value all loan-backed securities. Non-investment grade loan-backed securities are stated at the lower of amortized cost or fair value.

(7) - (14) No significant change.

D. Going Concern

Not applicable.

2. Accounting Changes and Corrections of Errors

Not applicable.

3. Business Combinations and Goodwill

Not applicable.

4. Discontinued Operations

Not applicable.

5. Investments

A. - C.

Not applicable.

D. Loan-Backed Securities

(1) Prepayment assumptions for single-class and multi-class mortgage-backed and asset-backed securities were obtained from broker-dealer survey values or internal estimates. The Company used various third-party pricing sources in determining the market value of its loan-backed securities.

(2) The Company did not recognize other-than-temporary impairments on its loan-backed securities during the three months ended March 31, 2018.

(3) The Company did not hold other-than-temporary impairments on its loan-backed securities at March 31, 2018.

(4) The Company had no impaired securities for which an other-than-temporary impairment had not been recognized in earnings as a realized loss at March 31, 2018.

(5) The Company had no impaired loan-backed securities at March 31, 2018.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

(1) Not applicable.

(2) No significant change.

STATEMENT AS OF MARCH 31, 2018 OF THE Empire HealthChoice HMO, Inc.

NOTES TO FINANCIAL STATEMENTS

(3) Collateral Received

- a. No significant change.
- b. The fair value of that collateral and of the portion of that collateral that it has sold or replugged. \$ 10,015,490
- c. No significant change.

(4) Not applicable.

(5) No significant change.

(6) Not applicable.

(7) Not applicable.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company did not enter into repurchase agreement transactions accounted for as secured borrowing at March 31, 2018.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

The Company did not enter into reverse repurchase agreement transactions accounted for as a secured borrowing at March 31, 2018.

H. Repurchase Agreements Transactions Accounted for as a Sale

The Company did not enter into repurchase agreement transactions accounted for as a sale at March 31, 2018.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

The Company did not enter into reverse repurchase agreement transactions accounted for as a sale at March 31, 2018.

J. Real Estate

No significant change.

K. Investments in Low-Income Housing Tax Credits

No significant change.

L. Restricted Assets

No significant change.

M. Working Capital Finance Investments

Not applicable.

N. Offsetting and Netting of Assets and Liabilities

The Company did not have any offsetting or netting of assets and liabilities at March 31, 2018.

O. Structured Notes

Not applicable.

STATEMENT AS OF MARCH 31, 2018 OF THE Empire HealthChoice HMO, Inc.
NOTES TO FINANCIAL STATEMENTS

P. 5* Securities

The Company has no 5* Securities as of March 31, 2018.

Q. Short Sales

The Company did not have any short sales at March 31, 2018.

R. Prepayment Penalty and Acceleration Fees

The Company did not have any prepayment penalty or acceleration fees at March 31, 2018.

6. Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

7. Investment Income

No significant change.

8. Derivative Instruments

No significant change.

9. Income Taxes

No significant change.

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

A. Nature of the Relationship

The Company is a New York domiciled stock health maintenance organization (“HMO”) and is a wholly-owned subsidiary of Empire HealthChoice Assurance, Inc. (“EHCA”), which is an indirect wholly-owned subsidiary of Anthem, Inc. (“Anthem”), a publicly traded company.

B. Significant Transactions for the Period

No significant change.

C. Intercompany Management and Service Arrangements

No significant change.

D. Amounts Due to or from Related Parties

At March 31, 2018, the Company reported no amounts due from affiliates and \$67,059,319 due to affiliates. The payable balance represents intercompany transactions that will be settled in accordance with the settlement terms of the intercompany agreement.

E. - N.

No significant change.

NOTES TO FINANCIAL STATEMENTS

11. Debt

Not applicable.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A. Defined Benefit Plan

Not applicable.

B. Not applicable.

C. Not applicable.

D. Not applicable.

E. Defined Contribution Plans

Not applicable.

F. Multiemployer Plans

The Company does not participate in a multiemployer plan.

G. Consolidated/Holding Company Plans

No significant change.

H. Post Employment Benefits and Compensated Absences

Not applicable.

I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)

Not applicable.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

(1) - (8)

No significant change.

(9) Changes in Special Surplus Funds

The change in balances of special surplus funds from the prior year are due to changes in the amounts segregated for the estimated Affordable Care Act ("ACA") health insurer fee. As of March 31, 2018, the Company fully expensed the estimated 2018 insurer fee. The insurer fee was suspended for 2019.

(10) - (13)

No significant change.

NOTES TO FINANCIAL STATEMENTS

14. Liabilities, Contingencies and Assessments

A. - F.

No significant change.

15. Leases

No significant change.

16. Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

No significant change.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables Reported as Sales

No significant change.

B. Transfer and Servicing of Financial Assets

(1) No significant change.

(2) - (7) Not applicable.

C. Wash Sales

(1) In the course of the Company's asset management, securities may be sold and reacquired within 30 days of the sale date to enhance the yield on the investments.

(2) At March 31, 2018, there were no wash sales involving securities with an NAIC designation of 3 or below or unrated.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

A. Administrative Services Only Plans

Not applicable.

B. Administrative Services Contract Plans

Not applicable.

C. Medicare or Other Similarly Structured Cost-Based Reimbursement Contract

No significant change.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not applicable.

STATEMENT AS OF MARCH 31, 2018 OF THE Empire HealthChoice HMO, Inc.
NOTES TO FINANCIAL STATEMENTS

20. Fair Value Measurements

A.

(1) Fair Value Measurement at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Total	Net Asset Value ("NAV") Included in Level 2
a. Assets at fair value					
Bonds					
U.S. Special Revenues	\$ —	\$2,081,671	\$ —	\$2,081,671	\$ —
Total bonds	\$ —	\$2,081,671	\$ —	\$2,081,671	\$ —
Total assets at fair value	\$ —	\$2,081,671	\$ —	\$2,081,671	\$ —

(2) Fair Value Measurement in (Level 3) of the Fair Value Hierarchy

The Company had no fair value measurements in Level 3 of the fair value hierarchy at March 31, 2018.

(3) The Company's policy is to recognize transfers between Levels, if any, as of the beginning of the reporting period.

(4) Fair values of bonds are based on quoted market prices, where available. These fair values are obtained primarily from third party pricing services, which generally use Level 1 or Level 2 inputs, for the determination of fair value to facilitate fair value measurements and disclosures. Level 2 securities primarily include United States government securities, corporate securities, securities from states, municipalities and political subdivisions, residential mortgage-backed securities and certain other asset-backed securities. For securities not actively traded, the third party pricing services may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, broker quotes, benchmark yields, credit spreads, default rates and prepayment speeds. As the Company is responsible for the determination of fair value, the Company performs monthly analyses on the prices received from third parties to determine whether the prices are reasonable estimates of fair value. The Company’s analyses include a review of month-to-month price fluctuations and, as needed, a comparison of pricing services’ valuations for the identical security.

There have been no significant changes in the valuation techniques during the current period.

B. Fair Value Measurements Under Other Accounting Pronouncements

Not applicable.

C. Financial Instruments

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)	Net Asset Value ("NAV") Included in Level 2
Bonds	\$ 367,143,394	\$ 368,027,210	\$ —	\$ 367,143,394	\$ —	\$ —	\$ —
Securities lending collateral asset	10,015,490	10,013,788	7,351,360	2,664,130	—	—	—

STATEMENT AS OF MARCH 31, 2018 OF THE Empire HealthChoice HMO, Inc.
NOTES TO FINANCIAL STATEMENTS

D. Not Practicable to Estimate Fair Value

There are no financial instruments that were not practicable to estimate at fair value.

21. Other Items

No significant change.

22. Events Subsequent

Subsequent events have been considered through May 11, 2018 for the statutory statement issued on May 14, 2018. There were no events occurring subsequent to March 31, 2018 requiring recognition or disclosure.

23. Reinsurance

No significant change.

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

A. - D.

No significant change.

STATEMENT AS OF MARCH 31, 2018 OF THE Empire HealthChoice HMO, Inc.
NOTES TO FINANCIAL STATEMENTS

E. Risk Sharing Provisions of the Affordable Care Act (“ACA”)

(1) Did the reporting entity write accident and health insurance premium that is subject to the Affordable Care Act risk sharing provisions (YES/NO)?	Yes
(2) Impact of Risk-Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities and Revenue for the Current Year	
a. Permanent ACA Risk Adjustment Program Assets	
Assets	
1. Premium adjustments receivable due to ACA Risk Adjustment (including high risk pool premium)	\$ 58,798,885
Liabilities	
2. Risk adjustment user fees payable for ACA Risk Adjustment	\$ 91,865
3. Premium adjustments payable due to ACA Risk Adjustment (including high risk pool premium)	\$ 212,827
Operations (Revenue & Expense)	
4. Reported as revenue in premium for accident and health contracts (written/ collected) due to ACA Risk Adjustment	\$ (181,652)
5. Reported in expenses as ACA risk adjustment user fees (incurred/paid)	\$ 1,651
b. Transitional ACA Reinsurance Program	
Assets	
1. Amounts recoverable for claims paid due to ACA Reinsurance	\$ 143,487
2. Amounts recoverable for claims unpaid due to ACA Reinsurance (contra liability)	\$ —
3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	\$ —
Liabilities	
4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium	\$ —
5. Ceded reinsurance premiums payable due to ACA Reinsurance	\$ —
6. Liability for amounts held under uninsured plans contributions for ACA Reinsurance	\$ —
Operations (Revenue & Expense)	
7. Ceded reinsurance premiums due to ACA Reinsurance	\$ —
8. Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments	\$ —
9. ACA Reinsurance contributions - not reported as ceded premium	\$ —
c. Temporary ACA Risk Corridors Program	
Assets	
1. Accrued retrospective premium due to ACA Risk Corridors	\$ —
Liabilities	
2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors	\$ —
Operations (Revenue & Expense)	
3. Effect of ACA Risk Corridors on net premium income (paid/received)	\$ —
4. Effect of ACA Risk Corridors on change in reserves for rate credits	\$ —
Line items where the amount is zero is due to no balance and/or no activity as of the reporting date.	

STATEMENT AS OF MARCH 31, 2018 OF THE Empire HealthChoice HMO, Inc.

NOTES TO FINANCIAL STATEMENTS

(3) Roll-forward of prior year ACA risk-sharing provisions for the following asset (gross of any nonadmission) and liability balances, along with the reasons for adjustments to prior year balance.

Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments		Ref	Unsettled Balances as of the Reporting Date	
				Prior Year Accrued Less Payments (Col 1 - 3)	Prior Year Accrued Less Payments (Col 2 - 4)	To Prior Year Balances	To Prior Year Balances		Cumulative Balance from Prior Years (Col 1 - 3 + 7)	Cumulative Balance from Prior Years (Col 2 - 4 + 8)
1	2	3	4	5	6	7	8		9	10
Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)		Receivable	(Payable)
a. Permanent ACA Risk Adjustment Program										
1. Premium adjustments receivable (including high risk pool payments)								A		
\$ 58,798,885	\$ —	\$ 31,175	\$ —	\$ 58,767,710	\$ —	\$ 31,175	\$ —		\$ 58,798,885	\$ —
2. Premium adjustments (payable) (including high risk pool premiums)								B		
\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —		\$ —	\$ —
3. Subtotal ACA Permanent Risk Adjustment Program									\$ 58,798,885	\$ —
\$ 58,798,885	\$ —	\$ 31,175	\$ —	\$ 58,767,710	\$ —	\$ 31,175	\$ —			
b. Transitional ACA Reinsurance Program										
1. Amounts recoverable for claims paid								C		
\$ 4,282,660	\$ —	\$ 4,139,173	\$ —	\$ 143,487	\$ —	\$ —	\$ —		\$ 143,487	\$ —
2. Amounts recoverable for claims unpaid (contra liability)								D		
\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —		\$ —	\$ —
3. Amounts receivable relating to uninsured plans								E		
\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —		\$ —	\$ —
4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium								F		
\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —		\$ —	\$ —
5. Ceded reinsurance premiums payable								G		
\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —		\$ —	\$ —
6. Liability for amounts held under uninsured plans								H		
\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —		\$ —	\$ —
7. Subtotal ACA Transitional Reinsurance Program									\$ 143,487	\$ —
\$ 4,282,660	\$ —	\$ 4,139,173	\$ —	\$ 143,487	\$ —	\$ —	\$ —			
c. Temporary ACA Risk Corridors Program										
1. Accrued retrospective premium								I		
\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —		\$ —	\$ —
2. Reserve for rate credits or policy experience rating refunds								J		
\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —		\$ —	\$ —
3. Subtotal ACA Risk Corridors Program									\$ —	\$ —
\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —	\$ —			
d. Total for ACA Risk Sharing Provisions										
\$ 63,081,545	\$ —	\$ 4,170,348	\$ —	\$ 58,911,197	\$ —	\$ 31,175	\$ —		\$ 58,942,372	\$ —
Explanations of adjustments										
A	Adjustments are based upon experience to date, marketplace and annual information which includes patient encounter and diagnosis code data.									
B	Not applicable.									
C	Not applicable.									
D	Not applicable.									
E	Not applicable.									
F	Not applicable.									
G	Not applicable.									
H	Not applicable.									
I	Not applicable.									
J	Not applicable.									

STATEMENT AS OF MARCH 31, 2018 OF THE Empire HealthChoice HMO, Inc.

NOTES TO FINANCIAL STATEMENTS

(4) Roll-Forward of Risk Corridors Asset and Liability Balances by Program Benefit Year.

Risk Corridors Program Year	Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments		Ref	Unsettled Balances as of the Reporting Date	
					Prior Year Accrued Less Payments (Col 1 - 3)	Prior Year Accrued Less Payments (Col 2 - 4)	To Prior Year Balances	To Prior Year Balances		Cumulative Balance from Prior Years (Col 1 - 3 + 7)	Cumulative Balance from Prior Years (Col 2 - 4 + 8)
	1	2	3	4	5	6	7	8		9	10
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)		Receivable	(Payable)
a. 2014											
1. Accrued retrospective premium	\$	—	\$	—	\$	—	\$	—	A	\$	—
2. Reserve for rate credits for policy experience rating refunds	\$	—	\$	—	\$	—	\$	—	B	\$	—
b. 2015											
1. Accrued retrospective premium	\$	—	\$	—	\$	—	\$	—	C	\$	—
2. Reserve for rate credits for policy experience rating refunds	\$	—	\$	—	\$	—	\$	—	D	\$	—
c. 2016											
1. Accrued retrospective premium	\$	—	\$	—	\$	—	\$	—	E	\$	—
2. Reserve for rate credits for policy experience rating refunds	\$	—	\$	—	\$	—	\$	—	F	\$	—
d. Total for Risk Corridors	\$	—	\$	—	\$	—	\$	—		\$	—

Explanations of adjustments

- A Not applicable.
- B Not applicable.
- C Not applicable.
- D Not applicable.
- E Not applicable.
- F Not applicable.

24E(4)d (Columns 1 through 10) should equal 24E(3)c3 (Column 1 through 10 respectively)

(5) ACA Risk Corridors Receivable as of Reporting Date.

Risk Corridors Program Year	1 Estimated Amount to be Filed or Final Amount Filed with CMS	2 Non-Accrued Amounts for Impairment or Other Reasons	3 Amounts received from CMS	4 Asset Balance (Gross of Non-admissions) (1 - 2 - 3)	5 Non-admitted Amount	6 Net Admitted Asset (4 - 5)
a. 2014	\$	—	\$	—	\$	—
b. 2015	\$	—	\$	—	\$	—
c. 2016	\$ 8,305,585	\$ 8,305,585	\$	—	\$	—
d. Total (a + b + c)	\$ 8,305,585	\$ 8,305,585	\$	—	\$	—

24E(5)d (Columns 4) should equal 24E(3)c1 (Column 9)

24E(5)d (Columns 6) should equal 24E(2)c1

25. Change in Incurred Claims and Claim Adjustment Expenses

- A. The estimated cost of claims and claim adjustment expense attributable to insured events of prior years decreased by \$16,291,213 during 2018. This is approximately 10.3% of unpaid claims and claim adjustment expenses of \$158,450,564 as of December 31, 2017. The redundancy reflects the decreases in estimated claims and claims adjustment expenses as a result of claims payment during the year, and as additional information is received regarding claims incurred prior to 2018. Recent claim development trends are also taken into account in evaluating the overall adequacy of unpaid claims and unpaid claim adjustment expense.
- B. There were no significant changes in methodologies and assumptions used in calculating the liability for unpaid losses and loss adjustment expenses.

26. Intercompany Pooling Arrangements

Not applicable.

27. Structured Settlements

Not applicable.

STATEMENT AS OF MARCH 31, 2018 OF THE Empire HealthChoice HMO, Inc.
NOTES TO FINANCIAL STATEMENTS

28. Health Care Receivables

No significant change.

29. Participating Policies

Not applicable.

30. Premium Deficiency Reserves

The Company recorded premium deficiency reserves of \$22,506,388 at March 31, 2018.

31. Anticipated Salvage and Subrogation

No significant change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [] No [X]

1.2

If yes, has the report been filed with the domiciliary state?

Yes [] No []

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [] No [X]

2.2

If yes, date of change:

3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?
If yes, complete Schedule Y, Parts 1 and 1A.

Yes [X] No []

3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [] No [X]

3.3

If the response to 3.2 is yes, provide a brief description of those changes.
N/A

3.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes [X] No []

3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

0001156039

4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes [] No [X]

4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?
If yes, attach an explanation.

Yes [] No [X] N/A []

6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2016

6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2013

6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

10/21/2016

6.4

By what department or departments?
New York State Department of Financial Services

6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [] No [] N/A [X]

6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [X] No [] N/A []

7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [] No [X]

7.2

If yes, give full information:

8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [] No [X]

8.2

If response to 8.1 is yes, please identify the name of the bank holding company.

8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [] No [X]

8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships; (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity; (c) Compliance with applicable governmental laws, rules and regulations; (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and (e) Accountability for adherence to the code.

Yes ☒ No ☐
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended?

Yes ☒ No ☐
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
Administrative changes were made in February 2018 to update the President & CEO letter, and to clarify our sexual harassment policy.
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes ☐ No ☒
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes ☐ No ☒
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes ☐ No ☒
- 11.2

If yes, give full and complete information relating thereto:
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$0
13.

Amount of real estate and mortgages held in short-term investments:

\$0
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes ☐ No ☒
- 14.2

If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$0	\$0
14.22 Preferred Stock	\$0	\$0
14.23 Common Stock	\$0	\$0
14.24 Short-Term Investments	\$0	\$0
14.25 Mortgage Loans on Real Estate	\$0	\$0
14.26 All Other	\$0	\$0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$0	\$0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes ☐ No ☒
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?
If no, attach a description with this statement.

Yes ☐ No ☐

STATEMENT AS OF MARCH 31, 2018 OF THE Empire HealthChoice HMO, Inc.

GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1

Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.

\$

10,015,492
- 16.2

Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.

\$

10,013,788
- 16.3

Total payable for securities lending reported on the liability page.

\$

10,013,788

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes ☒ No ☐

- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP Morgan Chase Bank, N.A.	383 Madison Ave, New York, NY 10179

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes ☒ No ☐

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
Bank of New York Mellon Corporation	JP Morgan Chase Bank, N.A.	02/01/2018	Operational decision to change custodian

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Anthem, Inc.	I
Mackay Shields LLC	U
McDonnell Investment Management, LLC	U

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets? Yes ☒ No ☐

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? Yes ☒ No ☐

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
107717	Mackay Shields LLC	549300Y7LLC0FU7R8H16	Securities Exchange Commission	NO
113878	McDonnell Investment Management, LLC		Securities Exchange Commission	NO

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes ☒ No ☐

- 18.2 If no, list exceptions:

19. By self-designating 5*GI securities, the reporting entity is certifying the following elements for each self-designated 5*GI security:

- a. Documentation necessary to permit a full credit analysis of the security does not exist.
- b. Issuer or obligor is current on all contracted interest and principal payments.
- c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

- Has the reporting entity self-designated 5*GI securities? Yes ☐ No ☒

GENERAL INTERROGATORIES

PART 2 - HEALTH

1.

Operating Percentages:

1.1 A&H loss percent

84.1 %

1.2 A&H cost containment percent

2.1 %

1.3 A&H expense percent excluding cost containment expenses

15.6 %

2.1

Do you act as a custodian for health savings accounts?

Yes [] No [X]

2.2

If yes, please provide the amount of custodial funds held as of the reporting date

\$

2.3

Do you act as an administrator for health savings accounts?

Yes [] No [X]

2.4

If yes, please provide the balance of the funds administered as of the reporting date

\$

3.

Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?

Yes [X] No []

3.1

If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?

Yes [] No []

STATEMENT AS OF MARCH 31, 2018 OF THE Empire HealthChoice HMO, Inc.

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

[illegible]

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

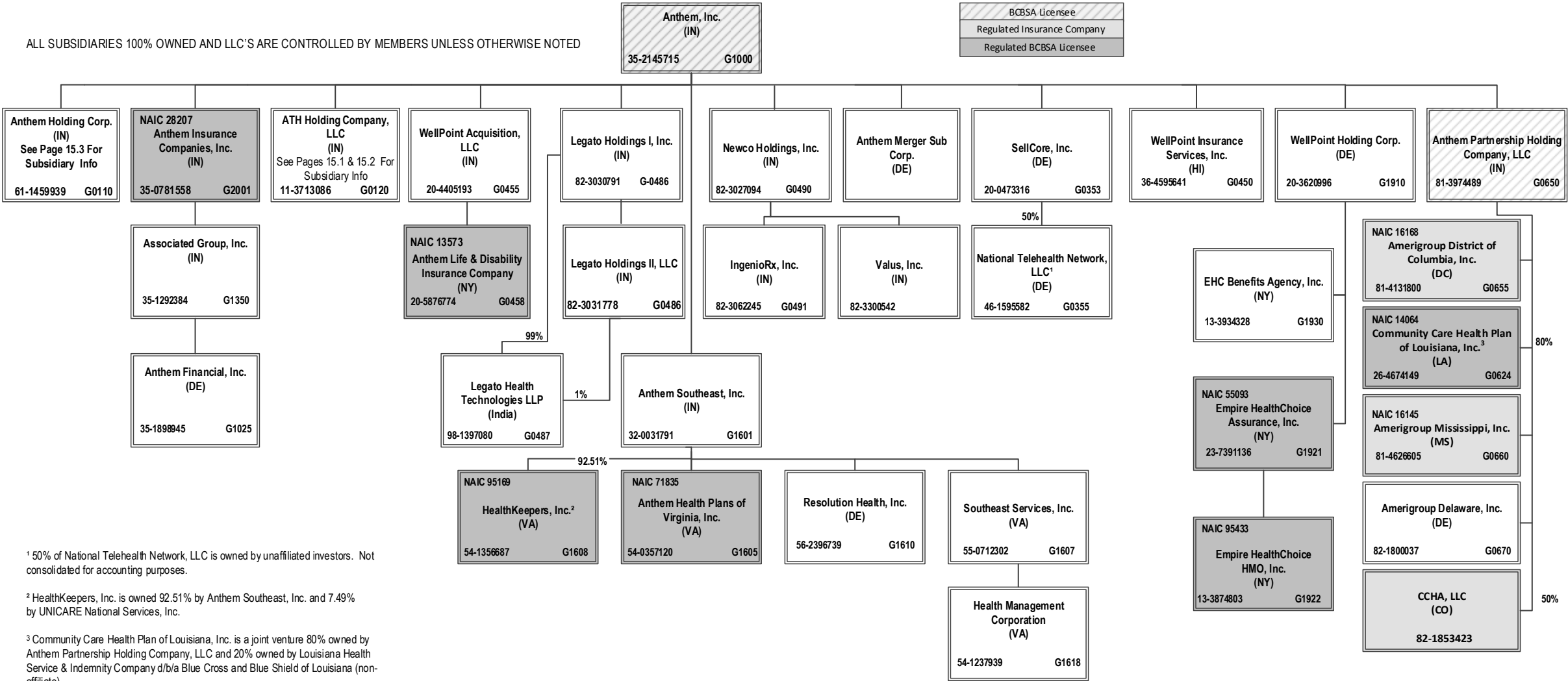
Current Year to Date - Allocated by States and Territories

			1	Direct Business Only						
			2	3	4	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums & Other Considerations	7 Property/ Casualty Premiums	8 Total Columns 2 Through 7	9 Deposit-Type Contracts
States, etc.			Active Status (a)	Accident and Health Premiums	Medicare Title XVIII	Medicaid Title XIX				
1.	Alabama	AL	N						0	
2.	Alaska	AK	N						0	
3.	Arizona	AZ	N						0	
4.	Arkansas	AR	N						0	
5.	California	CA	N						0	
6.	Colorado	CO	N						0	
7.	Connecticut	CT	N						0	
8.	Delaware	DE	N						0	
9.	District of Columbia ..	DC	N						0	
10.	Florida	FL	N						0	
11.	Georgia	GA	N						0	
12.	Hawaii	HI	N						0	
13.	Idaho	ID	N						0	
14.	Illinois	IL	N						0	
15.	Indiana	IN	N						0	
16.	Iowa	IA	N						0	
17.	Kansas	KS	N						0	
18.	Kentucky	KY	N						0	
19.	Louisiana	LA	N						0	
20.	Maine	ME	L		13,291,754				13,291,754	
21.	Maryland	MD	N						0	
22.	Massachusetts	MA	N						0	
23.	Michigan	MI	N						0	
24.	Minnesota	MN	N						0	
25.	Mississippi	MS	N						0	
26.	Missouri	MO	N						0	
27.	Montana	MT	N						0	
28.	Nebraska	NE	N						0	
29.	Nevada	NV	N						0	
30.	New Hampshire	NH	N						0	
31.	New Jersey	NJ	N						0	
32.	New Mexico	NM	N						0	
33.	New York	NY	L	32,369,061	201,956,801				234,325,862	
34.	North Carolina	NC	N						0	
35.	North Dakota	ND	N						0	
36.	Ohio	OH	N						0	
37.	Oklahoma	OK	N						0	
38.	Oregon	OR	N						0	
39.	Pennsylvania	PA	N						0	
40.	Rhode Island	RI	N						0	
41.	South Carolina	SC	N						0	
42.	South Dakota	SD	N						0	
43.	Tennessee	TN	N						0	
44.	Texas	TX	N						0	
45.	Utah	UT	N						0	
46.	Vermont	VT	N						0	
47.	Virginia	VA	N						0	
48.	Washington	WA	N						0	
49.	West Virginia	WV	N						0	
50.	Wisconsin	WI	N						0	
51.	Wyoming	WY	N						0	
52.	American Samoa	AS	N						0	
53.	Guam	GU	N						0	
54.	Puerto Rico	PR	N						0	
55.	U.S. Virgin Islands ...	VI	N						0	
56.	Northern Mariana Islands	MP	N						0	
57.	Canada	CAN	N						0	
58.	Aggregate Other Aliens	OT	XXX	0	0	0	0	0	0	0
59.	Subtotal	XXX		32,369,061	215,248,555	0	0	0	247,617,616	0
60.	Reporting Entity Contributions for Employee Benefit Plans	XXX							0	
61.	Totals (Direct Business)	XXX		32,369,061	215,248,555	0	0	0	247,617,616	0
DETAILS OF WRITE-INS										
58001.			XXX							
58002.			XXX							
58003.			XXX							
58998.	Summary of remaining write-ins for Line 58 from overflow page		XXX	0	0	0	0	0	0	0
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)		XXX	0	0	0	0	0	0	0

(a) Active Status Counts:
L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG..... 2 R - Registered - Non-domiciled RRGs..... 0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state..... 0 Q - Qualified - Qualified or accredited reinsurer..... 0
N - None of the above - Not allowed to write business in the state..... 55

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED



¹ 50% of National Telehealth Network, LLC is owned by unaffiliated investors. Not consolidated for accounting purposes.

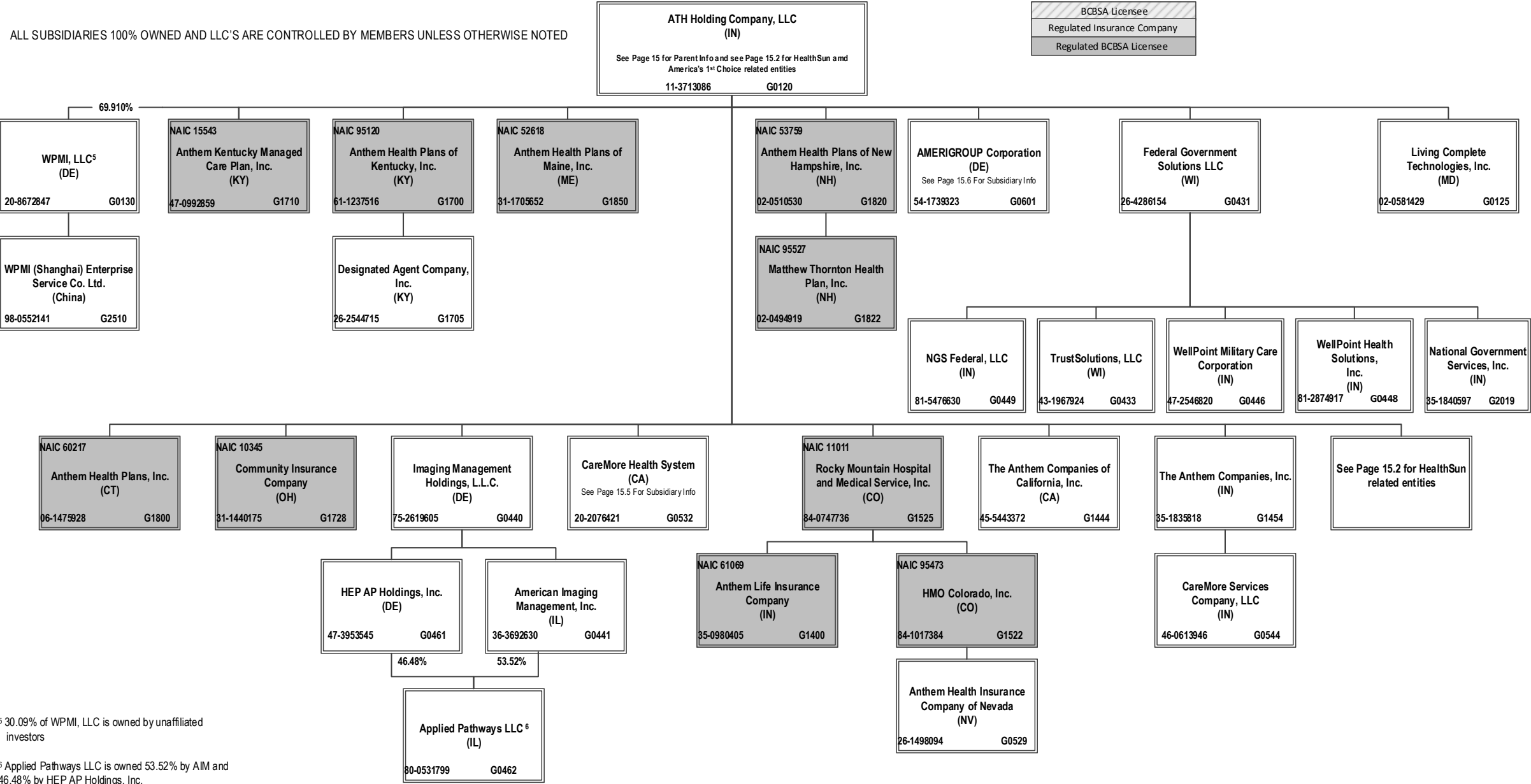
² HealthKeepers, Inc. is owned 92.51% by Anthem Southeast, Inc. and 7.49% by UNICARE National Services, Inc.

³ Community Care Health Plan of Louisiana, Inc. is a joint venture 80% owned by Anthem Partnership Holding Company, LLC and 20% owned by Louisiana Health Service & Indemnity Company d/b/a Blue Cross and Blue Shield of Louisiana (non-affiliate)

⁴ CCHA, LLC is a joint venture 50% owned by Anthem Partnership Holding Company, LLC and 50% owned by Colorado Community Health Alliance, LLC (non-affiliate)

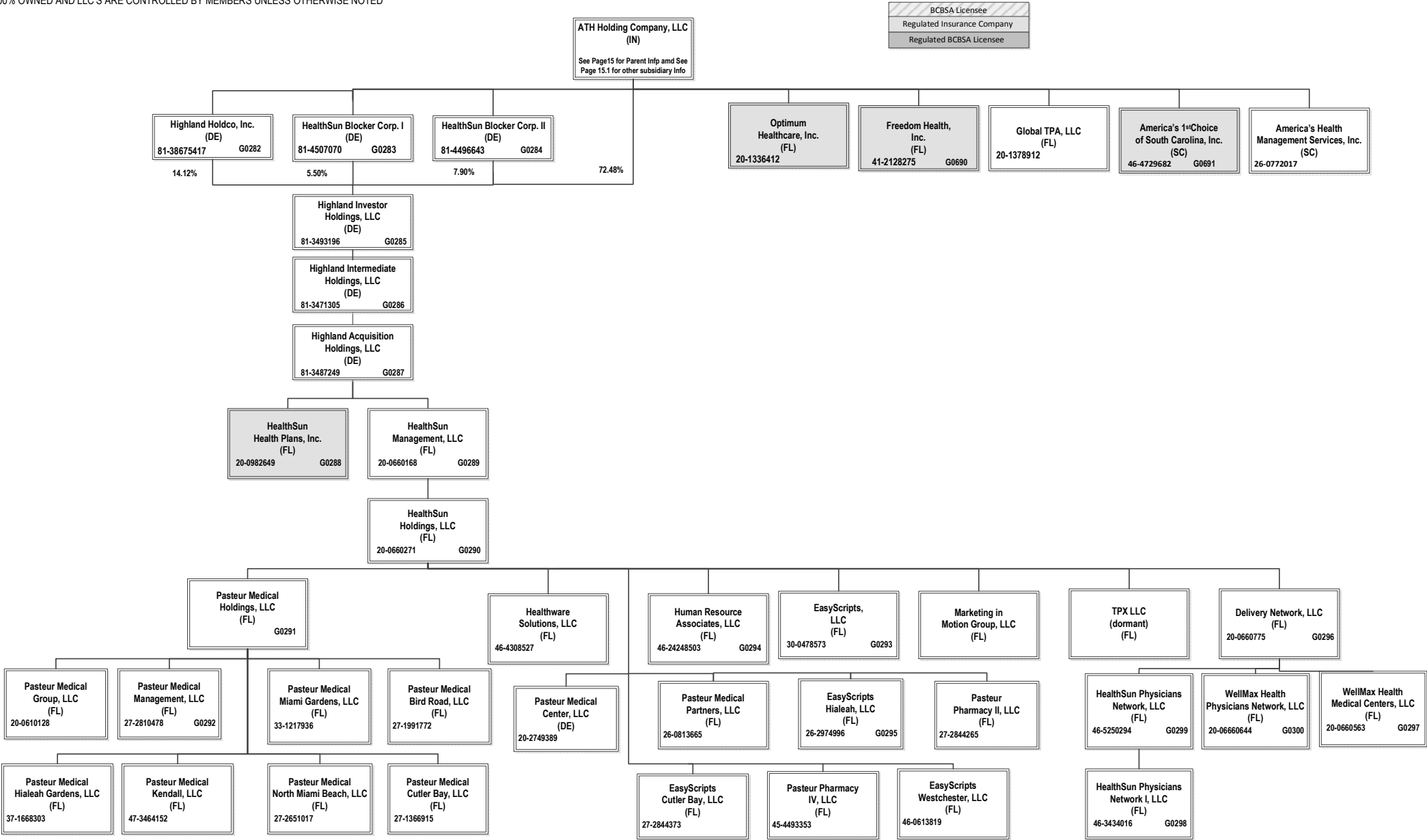
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PART 1 – ORGANIZATIONAL CHART

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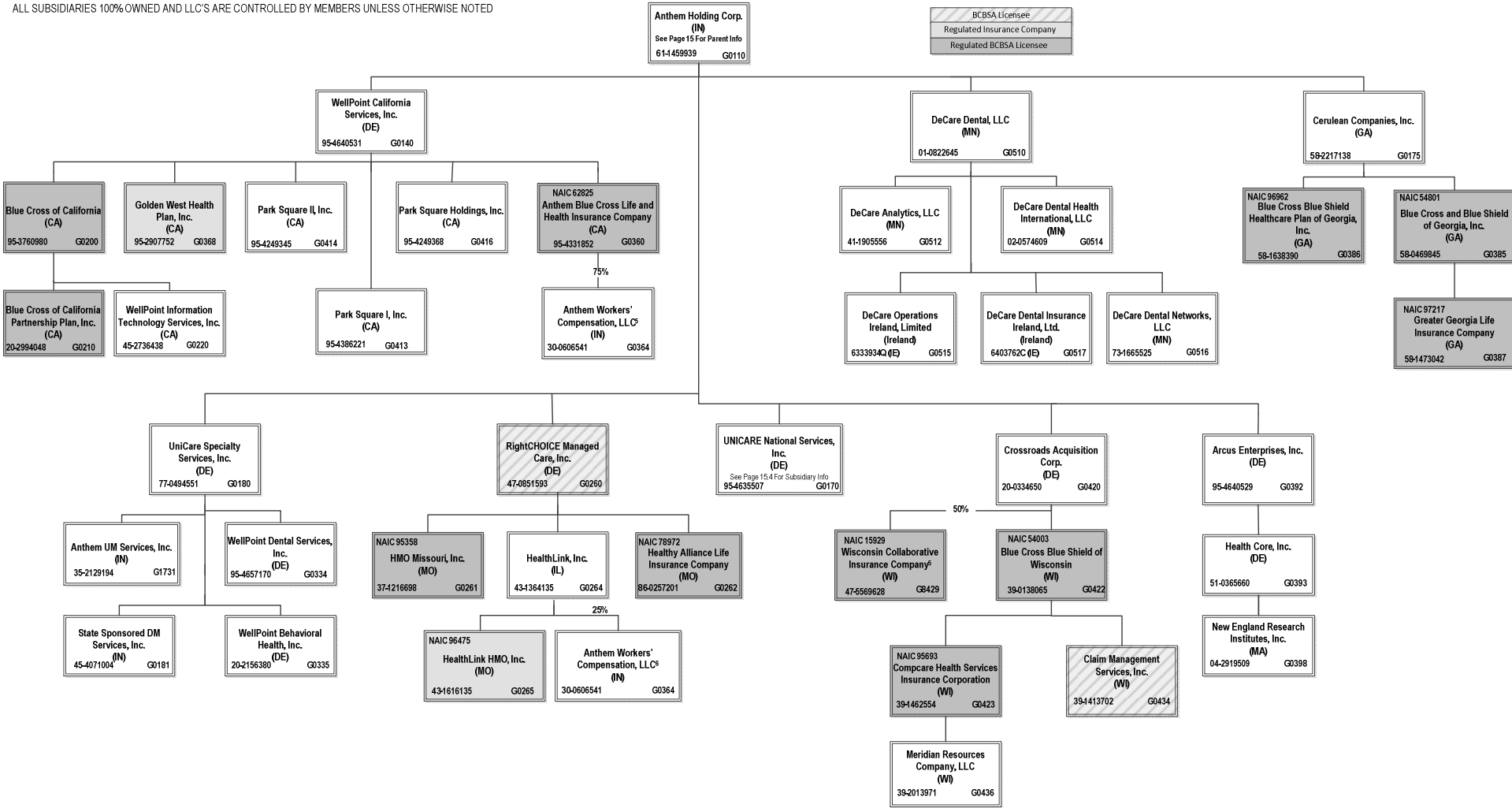
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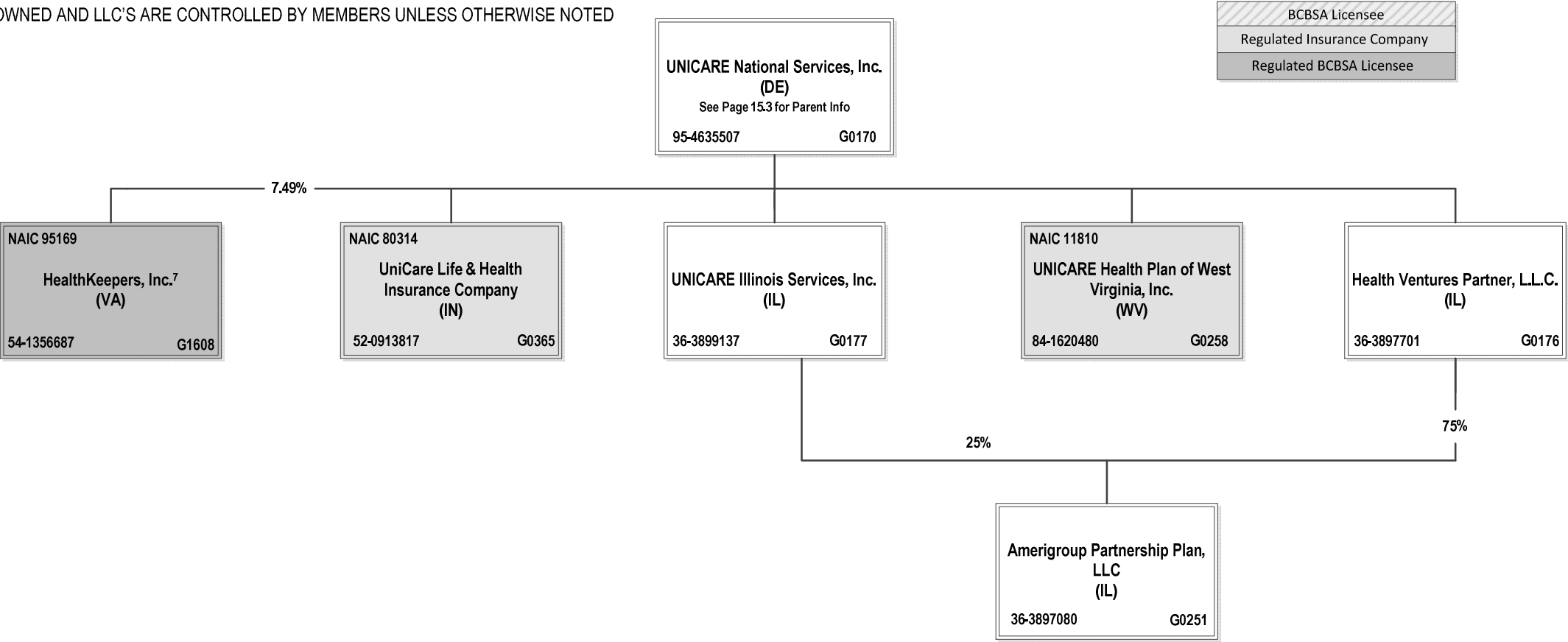


⁵ 50% of WCIC is owned by Aurora Health Care, Inc. (non-affiliate). Not consolidated for accounting purposes.

⁶ Anthem Workers' Compensation LLC is owned 75% by Anthem Blue Cross Life and Health Insurance Company and 25% by HealthLink, Inc.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

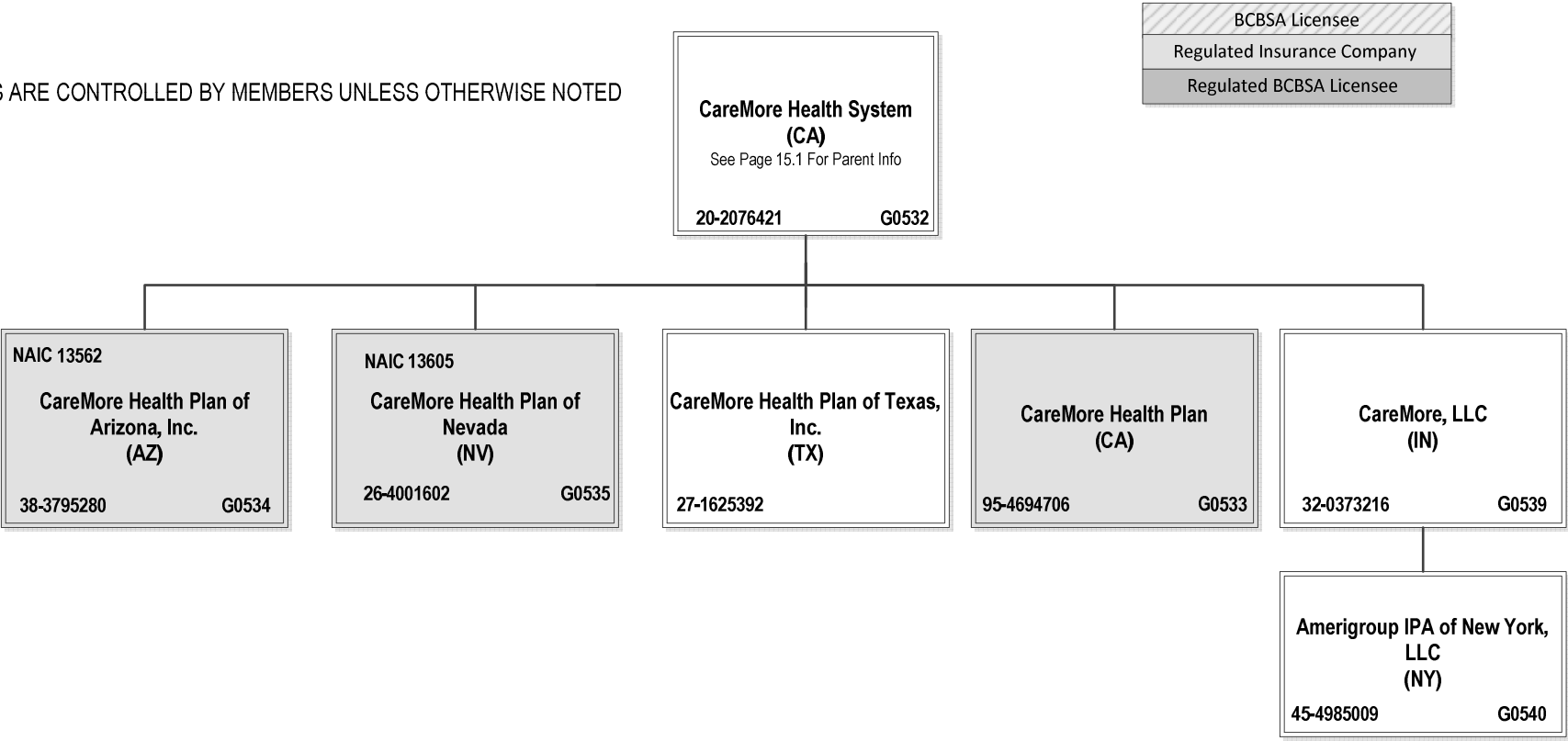
ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED



⁷ HealthKeepers, Inc. is owned 92.51% by Anthem Southeast, Inc. and 7.49% by UNICARE National Services, Inc.

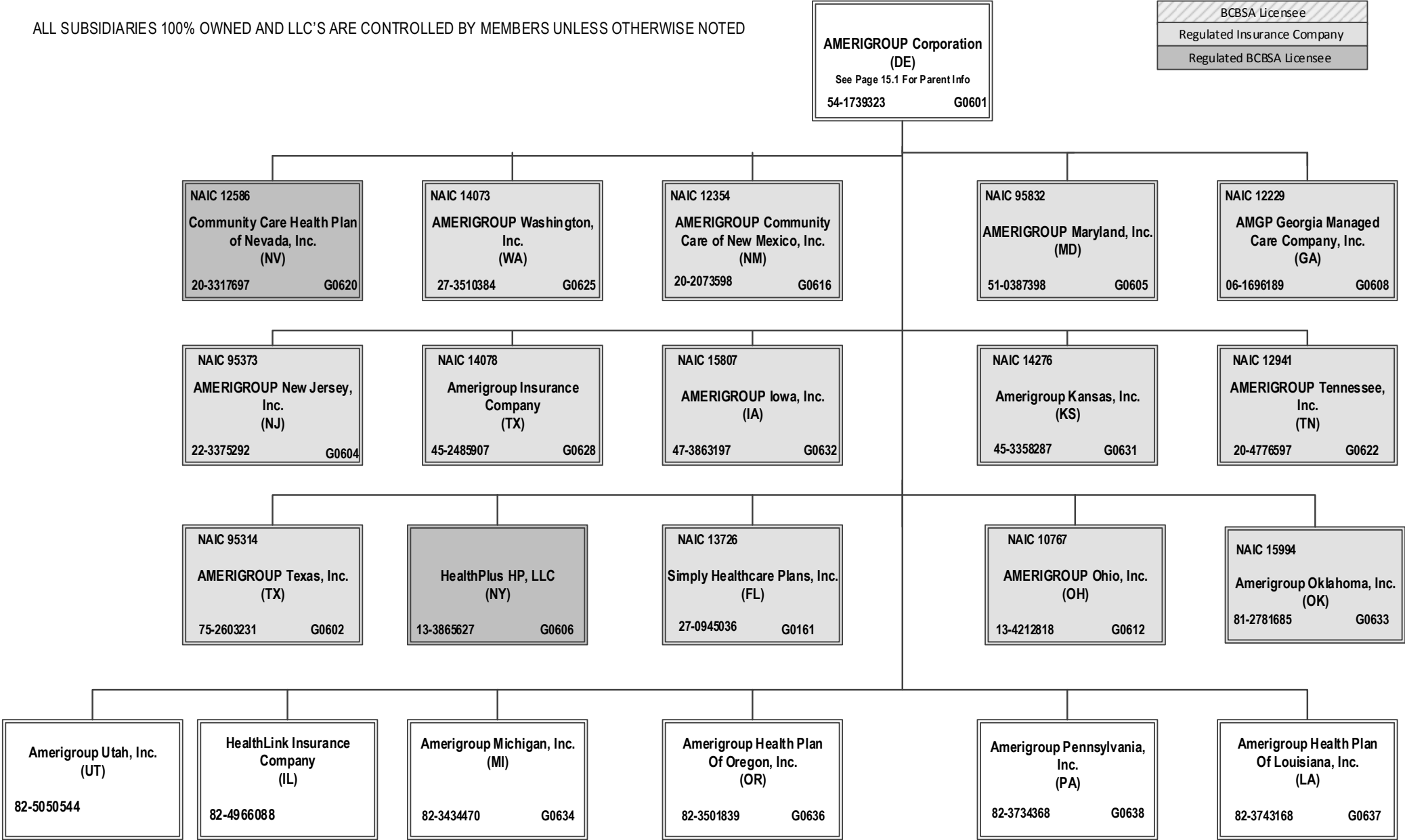
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED



SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED



SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0671	Anthem, Inc.		36-3692630		0001156039		American Imaging Management, Inc.	IL	NIA	Imaging Management Holdings, L.L.C.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	15544	46-4729682		0001156039		America's 1st Choice of South Carolina, Inc.	SC	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		26-0772017		0001156039		America's Health Management Services, Inc.	SC	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	12354	20-2073598		0001156039		AMERIGROUP Community Care of New Mexico, Inc.	NM	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		54-1739323		0001156039		AMERIGROUP Corporation	DE	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		82-1800037		0001156039		AMERIGROUP Delaware, Inc.	DE	NIA	Anthem Partnership Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	16168	81-4131800		0001156039		Amerigroup District of Columbia, Inc.	DC	IA	Anthem Partnership Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		82-3743168		0001156039		Amerigroup Health Plan of Louisiana, Inc.	LA	NIA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		82-3501839		0001156039		Amerigroup Health Plan of Oregon, Inc.	OR	NIA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	14078	45-2485907		0001156039		Amerigroup Insurance Company	TX	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	15807	47-3863197		0001156039		AMERIGROUP Iowa, Inc.	IA	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		45-4985009		0001156039		Amerigroup IPA of New York, LLC	NY	NIA	CareMore, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	14276	45-3358287		0001156039		Amerigroup Kansas, Inc.	KS	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	95832	51-0387398		0001156039		AMERIGROUP Maryland, Inc.	MD	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		82-3434470		0001156039		Amerigroup Michigan, Inc.	MI	NIA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	16145	81-4626605		0001156039		Amerigroup Mississippi, Inc.	MS	IA	Anthem Partnership Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	95373	22-3375292		0001156039		AMERIGROUP New Jersey, Inc.	NJ	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	10767	13-4212818		0001156039		AMERIGROUP Ohio, Inc.	OH	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	15994	81-2781685		0001156039		AMERIGROUP Oklahoma, Inc.	OK	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		36-3897080		0001156039		Amerigroup Partnership Plan, LLC	IL	NIA	Health Ventures Partner, L.L.C.	Ownership	75.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		36-3897080		0001156039		Amerigroup Partnership Plan, LLC	IL	NIA	UNICARE Illinois Services, Inc.	Ownership	25.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		82-3734368		0001156039		Amerigroup Pennsylvania, Inc.	PA	NIA	AMERIGROUP Corporation	Ownership	25.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	12941	20-4776597		0001156039		AMERIGROUP Tennessee, Inc.	TN	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	95314	75-2603231		0001156039		AMERIGROUP Texas, Inc.	TX	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	14073	27-3510384		0001156039		AMERIGROUP Washington, Inc.	WA	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		82-5050544		0001156039		AMERIGROUP Utah, Inc.	UT	NIA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	12229	06-1696189		0001156039		AMGP Georgia Managed Care Company, Inc.	GA	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	62825	95-4331852		0001156039		Anthem Blue Cross Life and Health Insurance Company	CA	IA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		35-1898945		0001156039		Anthem Financial, Inc.	DE	NIA	Associated Group, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		26-1498094		0001156039		Anthem Health Insurance Company of Nevada	NV	NIA	HMO Colorado, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	95120	61-1237516		0001156039		Anthem Health Plans of Kentucky, Inc.	KY	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	Y	0108
.0671	Anthem, Inc.	52618	31-1705652		0001156039		Anthem Health Plans of Maine, Inc.	ME	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	53759	02-0510530		0001156039		Anthem Health Plans of New Hampshire, Inc.	NH	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	71835	54-0357120	40003317	0001156039		Anthem Health Plans of Virginia, Inc.	VA	IA	Anthem Southeast, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	60217	06-1475928		0001156039		Anthem Health Plans, Inc.	CT	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		61-1459939		0001156039	New York Stock Exchange (NYSE)	Anthem Holding Corp.	IN	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		35-2145715		0001156039		Anthem, Inc.	IN	UIP				Anthem, Inc.	N	
.0671	Anthem, Inc.	28207	35-0781558		0001156039		Anthem Insurance Companies, Inc.	IN	IA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	Y	0108
.0671	Anthem, Inc.	15543	47-0992859		0001156039		Anthem Kentucky Managed Care Plan, Inc.	KY	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	13573	20-5876774		0001156039		Anthem Life & Disability Insurance Company	NY	IA	WellPoint Acquisition, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	61069	35-0980405		0001156039		Anthem Life Insurance Company	IN	IA	Rocky Mountain Hospital and Medical Service, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.				0001156039		Anthem Merger Sub Corp.	DE	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		81-3974489		0001156039		Anthem Partnership Holding Company, LLC	DE	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		32-0031791		0001156039		Anthem Southeast, Inc.	IN	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		35-2129194		0001156039		Anthem UM Services, Inc.	IN	NIA	UNICARE Specialty Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		30-0606541		0001156039		Anthem Workers' Compensation, LLC	IN	NIA	Anthem Blue Cross Life and Health Insurance Company	Ownership	75.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		30-0606541		0001156039		Anthem Workers' Compensation, LLC	IN	NIA	HealthLink, Inc.	Ownership	25.000	Anthem, Inc.	N	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0671	Anthem, Inc.				0001156039		Applied Pathways, LLC	IL	NIA	American Imaging Management, Inc./HEP AP Holdings, Inc.	Ownership	100.000	Anthem, Inc.	.N	.0107
.0671	Anthem, Inc.		95-4640529		0001156039		Arcus Enterprises, Inc.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		35-1292384		0001156039		Associated Group, Inc.	IN	NIA	Anthem Insurance Companies, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		11-3713086		0001156039		ATH Holding Company, LLC	IN	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	54801	58-0469845		0001156039		Blue Cross and Blue Shield of Georgia, Inc.	GA	IA	Cerulean Companies, Inc.	Ownership	100.000	Anthem, Inc.	.N	
							Blue Cross Blue Shield Healthcare Plan of Georgia, Inc.								
.0671	Anthem, Inc.	96962	58-1638390		0001156039		Blue Cross Blue Shield of Wisconsin	GA	IA	Cerulean Companies, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	54003	39-0138065		0001156039		Blue Cross of California	WI	IA	Crossroads Acquisition Corp.	Ownership	100.000	Anthem, Inc.	.Y	
.0671	Anthem, Inc.		95-3760980		0001156039		Blue Cross of California Partnership Plan, Inc.	CA	IA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	.N	.0101
							Blue Cross of California								
.0671	Anthem, Inc.		20-2994048		0001156039		CareMore Health Plan	CA	IA	Blue Cross of California	Ownership	100.000	Anthem, Inc.	.N	.0102
.0671	Anthem, Inc.		95-4694706		0001156039		CareMore Health Plan of Arizona, Inc.	CA	IA	CareMore Health System	Ownership	100.000	Anthem, Inc.	.N	.0103
.0671	Anthem, Inc.	13562	38-3795280		0001156039		CareMore Health Plan of Nevada	AZ	IA	CareMore Health System	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	13605	26-4001602		0001156039		CareMore Health Plan of Texas, Inc.	IN	IA	CareMore Health System	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		27-1625392		0001156039		CareMore, LLC	TX	NIA	CareMore Health System	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		32-0373216		0001156039		CareMore Services Company, LLC	IN	NIA	CareMore Health System	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		20-2076421		0001156039		Cerulean Companies, Inc.	CA	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		46-0613946		0001156039		Claim Management Services, Inc.	IN	NIA	The Anthem Companies, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		58-2217138		0001156039		CCHA, LLC	GA	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	16345	39-1413702		0001156039		Community Care Health Plan of Louisiana, Inc.	WI	NIA	Blue Cross Blue Shield of Wisconsin	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.				0001156039		Community Insurance Company	CO	IA	Anthem Partnership Holding Company, LLC	Ownership	50.000	Anthem, Inc.	.N	.0107
							Compcare Health Services Insurance Corporation								
.0671	Anthem, Inc.	14064	26-4674149		0001156039		Community Care Health Plan of Nevada, Inc.	LA	IA	Anthem Partnership Holding Company, LLC	Ownership	80.000	Anthem, Inc.	.N	.0109
.0671	Anthem, Inc.	12586	20-3317697		0001156039		Community Insurance Company	IN	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	10345	31-1440175		0001156039		Crossroads Acquisition Corp.	OH	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	.N	
							DeCare Analytics, LLC								
.0671	Anthem, Inc.	95693	39-1462554		0001156039		DeCare Dental Health International, LLC	WI	IA	Blue Cross Blue Shield of Wisconsin	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		20-0334650		0001156039		DeCare Dental Insurance Ireland, Ltd.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		41-1905556		0001156039		DeCare Dental Networks, LLC	MN	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		02-0574609		0001156039		DeCare Dental, LLC	MN	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		73-1665525		0001156039		DeCare Dental, LLC	IRL	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		01-0822645		0001156039		DeCare Operations Ireland, Limited	MN	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		20-0660775		0001156039		Delivery Network, LLC	MN	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		26-2544715		0001156039		Designated Agent Company, Inc.	FL	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		27-2844373		0001156039		EasyScripts Cutler Bay, LLC	FL	NIA	DeCare Dental, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		37-1668303		0001156039		EasyScripts LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		30-0478573		0001156039		EasyScripts Westchester, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		46-0613819		0001156039		EHC Benefits Agency, Inc.	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		13-3934328		0001156039		Empire HealthChoice Assurance, Inc.	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	55093	23-7391136		0001156039		Empire HealthChoice HMO, Inc.	NY	NIA	WellPoint Holding Corp	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	95433	13-3874803		0001156039		Federal Government Solutions, LLC	NY	RE	WellPoint Holding Corp	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		26-4286154		0001156039		Freedom THA, Inc.	WI	NIA	Empire HealthChoice Assurance, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	10119	41-2128275		0001156039		Global TPA, LLC	FL	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		95-2907752		0001156039		Golden West Health Plan, Inc.	FL	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	.N	
							Greater Georgia Life Insurance Company								
.0671	Anthem, Inc.	97217	58-1473042		0001156039		Health Core, Inc.	CA	IA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	.N	.0104
.0671	Anthem, Inc.		51-0365660		0001156039		Health Management Corporation	GA	IA	Blue Cross and Blue Shield of Georgia, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		54-1237939		0001156039		Health Ventures Partner, L.L.C.	DE	NIA	Arcus Enterprises, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		36-3897701		0001156039		HealthKeepers, Inc.	VA	NIA	Southeast Services, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	95169	54-1356687		0001156039			IL	NIA	UNICARE National Services, Inc.	Ownership	100.000	Anthem, Inc.	.N	
								VA	IA	Anthem Southeast, Inc.	Ownership	92.510	Anthem, Inc.	.N	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0671	Anthem, Inc.	95169	54-1356687		0001156039		HealthKeepers, Inc.	VA	IA	UNICARE National Services, Inc.	Ownership	7.490	Anthem, Inc.	N	
.0671	Anthem, Inc.	96475	43-1616135		0001156039		HealthLink HMO, Inc.	MO	IA	HealthLink, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		43-1364135		0001156039		HealthLink, Inc.	IL	NIA	RightCHOICE Managed Care, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		82-4966088		0001156039		HealthLink Insurance Company	IL	NIA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		13-3865627		0001156039		HealthPlus HP, LLC	NY	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	N	.0100
.0671	Anthem, Inc.		81-4507070		0001156039		HealthSun Blocker Corp. I	DE	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		81-4496643		0001156039		HealthSun Blocker Corp. II	DE	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	10122	20-0982649		0001156039		HealthSun Health Plans, Inc.	FL	IA	Highland Acquisition Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-0660271		0001156039		HealthSun Holdings, LLC	FL	NIA	HealthSun Management, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-0660168		0001156039		HealthSun Management, LLC	FL	NIA	Highland Acquisition Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		46-5250294		0001156039		HealthSun Physicians Network, LLC	FL	NIA	Delivery Network, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		46-3434016		0001156039		HealthSun Physicians Network I, LLC	FL	NIA	HealthSun Physicians Network, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		46-4308527		0001156039		Healthware Solutions, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	78972	86-0257201		0001156039		Healthy Alliance Life Insurance Company	MO	IA	RightCHOICE Managed Care, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		47-3953545		0001156039		HEP AP Holdings, Inc.	DE	NIA	Imaging Management Holdings, L.L.C.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		81-3867547		0001156039		Highland Holdco, Inc.	DE	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		81-3487249		0001156039		Highland Acquisition Holdings, LLC	DE	NIA	Highland Intermediate Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		81-3471305		0001156039		Highland Intermediate Holdings, LLC	DE	NIA	Highland Investor Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		81-3493196		0001156039		Highland Investor Holdings, LLC	DE	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
							Rocky Mountain Hospital and Medical								
.0671	Anthem, Inc.	95473	84-1017384		0001156039		HMO Colorado, Inc.	CO	IA	Service, Inc.	Ownership	100.000	Anthem, Inc.	Y	.0108
.0671	Anthem, Inc.	95358	37-1216698		0001156039		HMO Missouri, Inc.	MO	IA	RightCHOICE Managed Care, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.				0001156039		Human Resource Associates, LLC	FL	NIA	HealthSun Holdings, LLC					
.0671	Anthem, Inc.		75-2619605		0001156039		Imaging Management Holdings, L.L.C.	DE	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		82-3062245		0001156039		IngenioRX, Inc.	IN	NIA	Newco Holdings, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.				0001156039		Legato Health Technologies LLC	IN	NIA	Legato Holdings I, Inc.	Ownership	100.000	Anthem, Inc.	N	.0110
.0671	Anthem, Inc.		82-3030791		0001156039		Legato Holdings I, Inc.	IN	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		82-3031178		0001156039		Legato Holdings II, Inc.	IN	NIA	Legato Holdings I, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		02-0581429		0001156039		Living Complete Technologies, Inc.	MD	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.				0001156039		Marketing in Motion Group, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
							Anthem Health Plans of New Hampshire, Inc.								
.0671	Anthem, Inc.	95527	02-0494919		0001156039		Matthew Thornton Health Plan, Inc.	NH	IA		Ownership	100.000	Anthem, Inc.	N	
							Compcare Health Services Insurance								
.0671	Anthem, Inc.		39-2013971		0001156039		Meridian Resource Company, LLC	WI	NIA	Corporation	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		35-1840597		0001156039		National Government Services, Inc.	IN	NIA	Federal Government Solutions, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		46-1595582		0001156039		National Telehealth Network, LLC	DE	NIA	Sellcore, Inc.	Ownership	50.000	Anthem, Inc.	N	.0105
.0671	Anthem, Inc.		04-2919509		0001156039		New England Research Institute, Inc.	MA	NIA	Health Core, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		82-3027094		0001156039		Newco Holdings, Inc.	IN	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		81-5476630		0001156039		NGS Federal, LLC	IN	NIA	Federal Government Solutions, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.	12259	20-1336412		0001156039		Optimum Healthcare, Inc.	FL	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		95-4249368		0001156039		Park Square Holdings, Inc.	CA	NIA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		95-4386221		0001156039		Park Square I, Inc.	CA	NIA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		95-4249345		0001156039		Park Square II, Inc.	CA	NIA	WellPoint California Services, Inc.	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		27-1991772		0001156039		Pasteur Medical Birds Road, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-2749389		0001156039		Pasteur Medical Center, LLC	DE	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		27-1366915		0001156039		Pasteur Medical Cutler Bay, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		20-0610128		0001156039		Pasteur Medical Group, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		37-1668303		0001156039		Pasteur Medical Hialeah Gardens, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		45-1616220		0001156039		Pasteur Medical Holdings, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		47-3464152		0001156039		Pasteur Medical Kendall, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		27-2810478		0001156039		Pasteur Medical Management, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		33-1217936		0001156039		Pasteur Medical Miami Gardens, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		27-2651017		0001156039		Pasteur Medical North Miami Beach, LLC	FL	NIA	Pasteur Medical Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	
.0671	Anthem, Inc.		26-0813665		0001156039		Pasteur Medical Partners, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	N	

STATEMENT AS OF MARCH 31, 2018 OF THE Empire HealthChoice HMO, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0671	Anthem, Inc.		27-2844265		0001156039		Pasteur Pharmacy II, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		45-4493353		0001156039		Pasteur Pharmacy IV, LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		56-2396739		0001156039		Resolution Health, Inc.	DE	NIA	Anthem Southeast, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		47-0851593		0001156039		RightCHOICE Managed Care, Inc.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	.N	
							Rocky Mountain Hospital and Medical Service, Inc.	CO	IA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	.11011	84-0747736		0001156039		SellCore, Inc.	DE	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	.13726	20-0473316		0001156039		Simply Healthcare Plans, Inc.	FL	IA	AMERIGROUP Corporation	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		55-0712302		0001156039		Southeast Services, Inc.	VA	NIA	Anthem Southeast, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		45-4071004		0001156039		State Sponsored DM Services, Inc.	IN	NIA	UNICARE Specialty Services, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		35-1835818		0001156039		The Anthem Companies, Inc.	IN	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		45-5443372		0001156039		The Anthem Companies of California, Inc.	CA	NIA	ATH Holding Company, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.				0001156039		TPX LLC	FL	NIA	HealthSun Holdings, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		43-1967924		0001156039		TrustSolutions, LLC	WI	NIA	Federal Government Solutions, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	.11810	84-1620480		0001156039		UNICARE Health Plan of West Virginia, Inc.	WV	IA	UNICARE National Services, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		36-3899137		0001156039		UNICARE Illinois Services, Inc.	IL	NIA	UNICARE National Services, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	.80314	52-0913817		0001156039		UNICARE Life & Health Insurance Company	IN	IA	UNICARE National Services, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		95-4635507		0001156039		UNICARE National Services, Inc.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		82-3300542		0001156039		UNICARE Specialty Services, Inc.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		20-0660563		0001156039		Valus, Inc.	IN	NIA	IngenioRX, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		20-0660644		0001156039		WellMax Health Medical Centers, LLC	FL	NIA	Delivery Network, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		36-4014617		0001156039		WellMax Health Physicians Network, LLC	FL	NIA	Delivery Network, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		20-4405193		0001156039		WellPoint Acquisition, LLC	IN	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		20-2156380		0001156039		WellPoint Behavioral Health, Inc.	DE	NIA	UNICARE Specialty Services, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		95-4640531		0001156039		WellPoint California Services, Inc.	DE	NIA	Anthem Holding Corp.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		95-4657170		0001156039		WellPoint Dental Services, Inc.	DE	NIA	UNICARE Specialty Services, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		81-2874917		0001156039		WellPoint Health Solutions, Inc.	DE	NIA	Federal Government Solutions, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		20-3620996		0001156039		WellPoint Holding Corp	DE	UIP	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	.N	
							WellPoint Information Technology Services, Inc.	CA	NIA	Blue Cross of California	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		45-2736438		0001156039		WellPoint Insurance Services, Inc.	HI	NIA	Anthem, Inc.	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		47-2546820		0001156039		WellPoint Military Care Corporation	IN	NIA	Federal Government Solutions, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.	.15929	47-5569628		0001156039		Wisconsin Collaborative Insurance Company	WI	IA	Crossroads Acquisition Corp.	Ownership	50.000	Anthem, Inc.	.N	.0107
.0671	Anthem, Inc.		98-0552141		0001156039		WPMI (Shanghai) Enterprise Service Co. Ltd.	CHN	NIA	WPMI, LLC	Ownership	100.000	Anthem, Inc.	.N	
.0671	Anthem, Inc.		20-8672847		0001156039		WPMI, LLC	DE	NIA	ATH Holding Company, LLC	Ownership	69.910	Anthem, Inc.	.N	.0106

Asterisk	Explanation
0100	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the New York State Department of Health.
0101	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the California Department of Managed Health Care.
0102	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Compnay Code in column 3 because it is regulated by the California Department of Managed Health Care.
0103	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the California Department of Managed Health Care.
0104	Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the California Department of Managed Health Care.
0105	50% owned by unaffiliated investors
0106	30.09% owned by unaffiliated investors
0107	50% owned by an unaffiliated investor
0108	Received exemption from domestic regulator
0109	20% owned by an unaffiliated investor
0110	Legato Health Technologies LLP is a Limited Liabilty Partnership formed under the laws of India, and is 99% owned by Legato Holdings I, Inc. an Indiana corporation, and 1% owned by Legato Holdings II, LLC, an Indiana Limited Liability company.

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

Response

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

NO

Explanation:

1.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]



OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Assets Line 25

		Current Statement Date			4 Prior Year Net Admitted Assets
		1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
2504.	Medicare Receivables	1,620,262		1,620,262	1,413,548
2505.	Prepaid Expenses	2,943,187	1,940,936	1,002,251	771,872
2506.	Blue Card Receivables	184,334	176,781	7,553	6,131
2507.	Miscellaneous Receivables	2,820	2,820	0	0
2597.	Summary of remaining write-ins for Line 25 from overflow page	4,750,603	2,120,537	2,630,066	2,191,551

Additional Write-ins for Liabilities Line 23

		Current Period			Prior Year
		1 Covered	2 Uncovered	3 Total	4 Total
2304.	Accounts Payable – Miscellaneous	455,340		455,340	271,618
2305.	Out of Area Program Payable	329,257		329,257	306,026
2306.	Cost sharing reduction liability	5,272		5,272	81,680
2397.	Summary of remaining write-ins for Line 23 from overflow page	789,869	0	789,869	659,324

SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage investment and commitment fees		
9. Total foreign exchange change in book value/recorded investment including accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	364,393,290	408,710,903
2. Cost of bonds and stocks acquired	32,206,442	109,054,150
3. Accrual of discount	74,766	242,544
4. Unrealized valuation increase (decrease)	74,738	(32,049)
5. Total gain (loss) on disposals	(348,738)	1,227,030
6. Deduct consideration for bonds and stocks disposed of	27,533,951	150,720,653
7. Deduct amortization of premium	839,337	4,088,635
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	368,027,210	364,393,290
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	368,027,210	364,393,290

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	266,413,722	17,970,440	23,016,551	(1,431,976)	259,935,635	0	0	266,413,722
2. NAIC 2 (a)	95,887,561	14,118,239	4,709,960	(79,246)	105,216,594	0	0	95,887,561
3. NAIC 3 (a)	819,757	0	38,416	(57,671)	723,670	0	0	819,757
4. NAIC 4 (a)	0	0	0	793,311	793,311	0	0	
5. NAIC 5 (a)	1,272,250	0	0	85,750	1,358,000	0	0	1,272,250
6. NAIC 6 (a)	0				0			
7. Total Bonds	364,393,290	32,088,679	27,764,927	(689,832)	368,027,210	0	0	364,393,290
PREFERRED STOCK								
8. NAIC 1	0				0			
9. NAIC 2	0				0			
10. NAIC 3	0				0			
11. NAIC 4	0				0			
12. NAIC 5	0				0			
13. NAIC 6	0				0			
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	364,393,290	32,088,679	27,764,927	(689,832)	368,027,210	0	0	364,393,290

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:
NAIC 1 \$; NAIC 2 \$; NAIC 3 \$ NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals					

NONE

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	3,317,389
2. Cost of short-term investments acquired		307,921,659
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		(899)
6. Deduct consideration received on disposals		311,238,149
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	0	0
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	0	0

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	3,543,948	
2. Cost of cash equivalents acquired	3,361,574	18,396,818
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	6,905,522	14,852,870
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	0	3,543,948
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	0	3,543,948

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

N O N E

STATEMENT AS OF MARCH 31, 2018 OF THE Empire HealthChoice HMO, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
130630-FJ-2	CALIFORNIA ST 5.000% 10/01/26		03/07/2018	Morgan Stanley		1,489,244	1,260,000	0	1FE
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						1,489,244	1,260,000	0	XXX
442331-V8-4	HOUSTON TX SERIES A 5.000% 03/01/24		01/16/2018	Tax Free Exchange		2,803,805	2,505,000	46,969	1FE
442331-W9-1	HOUSTON TX SERIES A 5.000% 03/01/24		01/16/2018	Tax Free Exchange		2,783,002	2,495,000	46,781	1FE
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						5,586,807	5,000,000	93,750	XXX
121342-MM-6	BURKE CNTY GA DEV AUTH POLL CO 1.280% 07/01/49		01/02/2018	Barclays		600,000	600,000	30	1FE
3140FX-FR-8	FINMA POOL BF0175 2.500% 01/01/57		01/01/2018	Morgan Stanley		(6,454)	(6,747)	0	1
48543B-NR-5	KANSAS ST DEV FIN AUTH HLTH FA SERIES J 1.070% 03/01/41		02/23/2018	Piper Jaffray & Hopwood Inc		1,200,000	1,200,000	663	1FE
613520-LD-5	MONTGOMERY CNTY OH HOSP REVENJ SERIES E 1.140% 11/15/45		01/16/2018	Barclays		800,000	800,000	376	1FE
64986M-3C-7	NEW YORK ST HSG FIN AGY REV 1.150% 05/01/42		03/05/2018	J P Morgan		200,000	200,000	23	1FE
744434-EF-4	PUBLIC PWIR GENERATION AGY NE R 5.000% 01/01/34		01/08/2018	Janney Montgomery Scott		856,231	735,000	919	1FE
79467B-BF-1	SALES TAX SECURITIZATION CORP SERIES A 5.000% 01/01/35		01/25/2018	Goldman Sachs & Co		3,187,521	2,745,000	0	1FE
3199999. Subtotal - Bonds - U.S. Special Revenues						6,837,298	6,273,253	2,011	XXX
026874-DH-7	AMERICAN INTERNATIONAL GROUP 3.900% 04/01/26		03/27/2018	Various		1,975,027	2,000,000	38,513	2FE
166754-AQ-4	CHEVRON PHILLIPS CHEMICAL SERIES 144A 3.300% 05/01/23		02/28/2018	Toronto Dominion Securities		600,414	600,000	0	1FE
30212P-AP-0	Expedia Group Inc SERIES W1 3.800% 02/15/28		02/20/2018	Various		1,710,345	1,850,000	16,493	2FE
48305Q-AA-1	KAISER FOUNDATION HOSPIT 3.500% 04/01/22		03/05/2018	Wells Fargo		101,703	100,000	1,517	1FE
80282K-AP-1	SANTANDER HOLDINGS USA SERIES W1 4.400% 07/13/27		03/01/2018	Tax Free Exchange		1,514,774	1,500,000	8,800	2FE
902494-BC-6	TYSON FOODS INC 3.550% 06/02/27		03/12/2018	Salomon Bros		2,658,783	2,750,000	27,660	2FE
98956P-AP-7	ZIMMER BIOMET HOLDINGS 2.489% 03/19/21		03/13/2018	Various		700,923	700,000	0	2FE
01626P-AH-9	ALIMENTATION COUCHE-TARD INC SERIES 144A 3.550% 07/26/27	A	03/12/2018	Goldman Sachs & Co		2,630,348	2,750,000	13,017	2FE
12594K-AA-0	CNH INDUSTRIAL NV 4.500% 08/15/23	D	02/15/2018	Various		1,437,540	1,400,000	875	2FE
368266-AA-0	GAZPROM OAO VIA GAZ CAPITAL SA SERIES 144A 8.625% 04/28/34	D	02/08/2018	BARCLAYS CAPITAL		1,490,500	1,100,000	27,408	2FE
88032W-AG-1	TENCENT HOLDINGS LTD SERIES 144A 3.595% 01/19/28	D	01/25/2018	UBS Securities Inc		3,472,736	3,500,000	3,495	1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						18,293,093	18,250,000	137,778	XXX
8399997. Total - Bonds - Part 3						32,206,442	30,783,253	233,539	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						32,206,442	30,783,253	233,539	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						0	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	XXX
9999999 - Totals						32,206,442	XXX	233,539	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

STATEMENT AS OF MARCH 31, 2018 OF THE Empire HealthChoice HMO, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation or Market In- dicator (a)
167485-5H-0	CHICAGO IL SERIES A 5.000% 01/01/20		02/23/2018	Call 100.0000 Redemption 100.0000		1,100,000	1,100,000	1,162,832	1,100,000	.0	.0	.0	.0	.0	1,100,000	.0	.0	.0	35,444	01/01/2020	2FE
167485-5H-0	CHICAGO IL SERIES A 5.000% 01/01/20		01/16/2018			55,000	55,000	58,142	55,000	.0	.0	.0	.0	.0	55,000	.0	.0	.0	1,490	01/01/2020	2FE
442331-VU-5	HOUSTON TEX 5.000% 03/01/24		01/16/2018	Tax Free Exchange		5,586,807	5,000,000	6,232,800	5,582,479	.0	(5,671)	.0	(5,671)	.0	5,586,807	.0	.0	.0	93,750	03/01/2024	1FE
707487-HY-3	PENN HILLS PA SCH DIST 5.000% 11/15/20		01/10/2018	Pershing		211,600	195,000	217,710	208,094	.0	(135)	.0	(135)	.0	207,959	.0	3,641	3,641	1,544	11/15/2020	1FE
2499999	Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions					6,953,407	6,350,000	7,671,484	6,955,573	0	(5,806)	0	(5,806)	0	6,949,766	0	3,641	3,641	132,228	XXX	XXX
121342-MW-6	BURKE CNTY GA DEV AUTH POLL CO 1.280% 07/01/49 CONNECTICUT ST HEALTH & EDL SERIES V-1 0.380% 07/01/36		03/12/2018	Barclays		600,000	600,000	600,000	.0	.0	.0	.0	.0	.0	600,000	.0	.0	.0	948	07/01/2049	1FE
20774L-RT-4	FHLMC GIANT POOL G04214 5.500% 05/01/38		02/12/2018	Barclays		1,325,000	1,325,000	1,325,000	1,325,000	.0	.0	.0	.0	.0	1,325,000	.0	.0	.0	1,866	07/01/2036	1FE
3128M6-AP-3	FHLMC GIANT POOL G04527 5.500% 07/01/38		03/01/2018	Paydown		9,462	9,462	9,554	9,546	.0	(84)	.0	(84)	.0	9,462	.0	.0	.0	85	05/01/2038	1
3128M6-LG-1	FHLMC GIANT POOL G04527 5.500% 07/01/38		03/01/2018	Paydown		4,752	4,752	4,629	4,636	.0	116	.0	116	.0	4,752	.0	.0	.0	44	07/01/2038	1
3128MJ-GA-2	FHLMC GOLD POOL G08192 5.500% 04/01/37		03/01/2018	Paydown		6,334	6,334	6,221	6,228	.0	106	.0	106	.0	6,334	.0	.0	.0	56	04/01/2037	1
3128MJ-UP-6	FHLMC GOLD POOL G08269 5.500% 05/01/38		03/01/2018	Paydown		6,310	6,310	6,224	6,227	.0	82	.0	82	.0	6,310	.0	.0	.0	55	05/01/2038	1
3128MM-DE-7	FHLMC GOLD POOL G18124 6.000% 06/01/21		03/01/2018	Paydown		10,970	10,970	10,970	10,970	.0	.0	.0	.0	.0	10,970	.0	.0	.0	109	06/01/2021	1
31359N-OC-8	FNMA ACES SERIES 1997-10 CLASS ZA 6.600% 03/18/27		03/01/2018	Paydown		15,579	15,579	15,893	15,750	.0	(170)	.0	(170)	.0	15,579	.0	.0	.0	201	03/18/2027	1
31371L-F2-0	FNMA POOL 254985 5.000% 11/01/23		03/01/2018	Paydown		12,341	12,341	11,720	11,959	.0	382	.0	382	.0	12,341	.0	.0	.0	108	11/01/2023	1
31371L-VJ-5	FNMA POOL 255417 6.000% 09/01/24		03/01/2018	Paydown		7,123	7,123	7,112	7,105	.0	18	.0	18	.0	7,123	.0	.0	.0	78	09/01/2024	1
31371L-W3-9	FNMA POOL 255486 6.000% 10/01/24		03/01/2018	Paydown		5,995	5,995	5,986	5,980	.0	15	.0	15	.0	5,995	.0	.0	.0	60	10/01/2024	1
31371M-TC-1	FNMA POOL 256247 6.000% 05/01/26		03/01/2018	Paydown		6,602	6,602	6,584	6,581	.0	21	.0	21	.0	6,602	.0	.0	.0	67	05/01/2026	1
31385X-F9-3	FNMA POOL 555592 5.500% 07/01/33		03/01/2018	Paydown		8,144	8,144	7,877	7,905	.0	239	.0	239	.0	8,144	.0	.0	.0	75	07/01/2033	1
31402D-C2-4	FNMA POOL 725589 5.000% 07/01/34		03/01/2018	Paydown		32,374	32,374	33,300	33,200	.0	(825)	.0	(825)	.0	32,374	.0	.0	.0	234	07/01/2034	1
31402D-US-0	FNMA POOL 725773 5.500% 09/01/34		03/01/2018	Paydown		11,970	11,970	11,604	11,604	.0	366	.0	366	.0	11,970	.0	.0	.0	109	09/01/2034	1
31402D-Y3-9	FNMA POOL 735230 5.500% 02/01/35		03/01/2018	Paydown		7,022	7,022	6,785	6,805	.0	217	.0	217	.0	7,022	.0	.0	.0	61	02/01/2035	1
31402R-DG-1	FNMA POOL 735503 6.000% 04/01/35		03/01/2018	Paydown		11,565	11,565	11,477	11,481	.0	84	.0	84	.0	11,565	.0	.0	.0	117	04/01/2035	1
31403C-6L-0	FNMA POOL 745275 5.000% 02/01/36		03/01/2018	Paydown		19,490	19,490	20,147	20,090	.0	(600)	.0	(600)	.0	19,490	.0	.0	.0	158	02/01/2036	1
31407Y-R6-8	FNMA POOL 844809 5.000% 11/01/35		03/01/2018	Paydown		8,622	8,622	8,098	8,128	.0	495	.0	495	.0	8,622	.0	.0	.0	64	11/01/2035	1
3140FX-FR-8	FNMA POOL BF0175 2.500% 01/01/57		03/01/2018	Paydown		26,951	26,951	25,782	6,814	.0	1,274	.0	1,274	.0	26,951	.0	.0	.0	112	01/01/2057	1
31413B-TW-1	FNMA POOL 940765 5.500% 06/01/37		03/01/2018	Paydown		7,343	7,343	7,604	7,590	.0	(248)	.0	(248)	.0	7,343	.0	.0	.0	88	06/01/2037	1
31419A-ZK-6	FNMA POOL AE0745 6.000% 03/01/24		03/01/2018	Paydown		14,935	14,935	16,246	15,771	.0	(836)	.0	(836)	.0	14,935	.0	.0	.0	148	03/01/2024	1
45200F-6G-9	ILLINOIS ST FIN AUTH REV 1.250% 08/01/44		03/12/2018	Wells Fargo		725,000	725,000	725,000	725,000	.0	.0	.0	.0	.0	725,000	.0	.0	.0	1,915	08/01/2044	1FE
48543B-NR-5	KANSAS ST DEV FIN AUTH HLTH FA SERIES J 1.070% 03/01/41		03/12/2018	Piper Jaffray & Hopwood Inc		1,200,000	1,200,000	1,200,000	.0	.0	.0	.0	.0	.0	1,200,000	.0	.0	.0	1,260	03/01/2041	1FE
606901-WS-1	MISSOURI ST HLTH & EDUCNL FAC SERIES B 0.990% 03/01/40		02/12/2018	J P Morgan		2,200,000	2,200,000	2,200,000	2,200,000	.0	.0	.0	.0	.0	2,200,000	.0	.0	.0	4,805	03/01/2040	1FE
613520-LD-5	MONTGOMERY CNTY OH HOSP REVENU SERIES E 1.140% 11/15/45		03/12/2018	Barclays		800,000	800,000	800,000	.0	.0	.0	.0	.0	.0	800,000	.0	.0	.0	1,364	11/15/2045	1FE
647370-EL-5	NEW MEXICO ST HOSP EQUIPMENT L 1.180% 08/01/34		03/12/2018	J P Morgan		3,300,000	3,300,000	3,300,000	3,300,000	.0	.0	.0	.0	.0	3,300,000	.0	.0	.0	10,003	08/01/2034	1FE
64763H-DW-2	NEW ORLEANS LA AVIATION BRD 5.000% 01/01/18		01/01/2018	Maturity		220,000	220,000	244,915	220,000	.0	.0	.0	.0	.0	220,000	.0	.0	.0	5,500	01/01/2018	1FE
64986M-3C-7	NEW YORK ST HSG FIN AGY REV 1.150% 05/01/42		03/12/2018	J P Morgan		200,000	200,000	200,000	.0	.0	.0	.0	.0	.0	200,000	.0	.0	.0	66	05/01/2042	1FE
906365-CA-7	UNION COUNTY UTILITIES AUTHORI 6.750% 01/15/18		01/15/2018	Maturity		550,000	550,000	569,250	550,286	.0	(286)	.0	(286)	.0	550,000	.0	.0	.0	18,563	01/15/2018	2FE
3199999	Subtotal - Bonds - U.S. Special Revenues					11,353,884	11,353,884	11,397,943	8,534,656	0	366	0	366	0	11,353,884	0	0	0	48,319	XXX	XXX
02377B-AB-2	AMER AIRLN 15-2 AA PTT 3.600% 03/22/29		03/22/2018	Redemption 100.0000		16,461	16,461	16,461	16,461	.0	.0	.0	.0	.0	16,461	.0	.0	.0	296	09/22/2027	1FE
05905B-AG-9	BANC OF AMERICA ALT LN TR SERIES 2006-5 CLASS CB7 6.000% 06/25/46		03/01/2018	Paydown Redemption 100.0000		38,416	47,171	29,058	42,280	.0	(3,864)	.0	(3,864)	.0	38,416	.0	.0	.0	477	06/25/2046	3FM
126650-BP-4	CVS/CAREMARK CORP 6.036% 12/10/28		03/10/2018			6,999	6,999	7,828	7,726	.0	(7)	.0	(7)	.0	7,719	.0	(720)	(720)	71	12/10/2028	2FE
16163C-AH-5	CHASE MORTGAGE FINANCE CORP SERIES 2006-A1 CLASS 243 3.652% 09/25/36		03/01/2018	Paydown		75,387	77,160	37,375	47,732	.0	27,656	.0	27,656	.0	75,387	.0	.0	.0	441	09/25/2036	1FM
22822R-AR-1	CROWN CASTLE TOWERS LLC SERIES 144A 6.113% 01/15/20		01/15/2018	Call 100.0000		2,100,000	2,100,000	2,485,833	2,370,470	.0	.0	.0	.0	.0	2,370,470	.0	(270,470)	(270,470)	124,377	01/15/2020	1FE

STATEMENT AS OF MARCH 31, 2018 OF THE Empire HealthChoice HMO, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation or Market In-dicator (a)	
.24736X-AA-6	DELTA AIR LINES SERIES 15-1 3.625% 01/30/29		01/30/2018	Redemption 100.0000		18,299	18,299	18,299	18,299	0	0	0	0	0	18,299	0	0	0	332	07/30/2027	1FE	
350910-AN-5	FOUR TIMES SQUARE TRUST SERIES 2006-4TS CLASS A 5.401% 12/13/28		03/11/2018	Paydown		4,763	4,763	5,501	4,938	0	(176)	0	(176)	0	4,763	0	0	0	45	12/13/2028	1FM	
428236-BV-4	HEWLETT-PACKARD CO 4.650% 12/09/21		03/22/2018	Corporate Action		1,455,025	1,375,000	1,477,259	1,434,354	0	(3,344)	0	(3,344)	0	1,431,009	0	24,016	24,016	19,004	12/09/2021	2FE	
576434-JU-4	MASTER ALTERNATIVE LOANS TRUST SERIES 2004-3 CLASS 1A1 5.000% 03/25/19		03/01/2018	Paydown		10,512	10,512	10,044	10,403	0	109	0	109	0	10,512	0	0	0	85	03/25/2019	1FM	
61745M-2H-5	MORGAN STANLEY CAPITAL I SERIES 2005-1Q9 CLASS AJ 4.770% 07/15/56		03/01/2018	Paydown		31,771	31,771	33,908	31,912	0	(141)	0	(141)	0	31,771	0	0	0	180	07/15/2056	1FM	
80282K-AN-6	SANTANDER HOLDINGS USA SERIES 144A 4.400% 07/13/27		03/01/2018	Tax Free Exchange Redemption 100.0000		1,514,774	1,500,000	1,515,570	1,514,994	0	(220)	0	(220)	0	1,514,774	0	0	0	41,800	07/13/2027	2FE	
85208N-AA-8	SPRINT SPECTRUM SPEC I SERIES A-1 3.360% 09/20/21		03/20/2018			51,250	51,250	51,601	51,482	0	(26)	0	(26)	0	51,457	0	(207)	(207)	431	09/20/2021	2FE	
92935J-BC-8	WF-RBS COMMERCIAL MTG TRUST SERIES 2011- C2 CLASS A4 4.869% 02/15/44		03/01/2018	Paydown		7,918	7,918	9,303	8,247	0	(330)	0	(330)	0	7,918	0	0	0	67	02/15/2044	1FM	
92935V-AE-8	WF-RBS COMMERCIAL MTG TRUST SERIES 2011-C3 CLASS A3 3.998% 03/15/44		03/01/2018	Paydown		322,352	322,352	325,575	322,589	0	(238)	0	(238)	0	322,352	0	0	0	1,168	03/15/2044	1FM	
01609W-AQ-5	ALIBABA GROUP HOLDING LTD SERIES W1 3.600% 11/28/24	D	01/25/2018	UBS Securities Inc		1,881,154	1,850,000	1,946,515	1,931,826	0	(871)	0	(871)	0	1,930,955	0	(49,801)	(49,801)	11,285	11/28/2024	1FE	
88032X-AD-6	TENCENT HOLDINGS LTD SERIES REGS 3.800% 02/11/25	D	01/25/2018	UBS Securities Inc		1,691,579	1,650,000	1,764,114	1,747,737	0	(961)	0	(961)	0	1,746,777	0	(55,197)	(55,197)	29,260	02/11/2025	1FE	
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						9,226,660	9,069,656	9,734,244	9,561,450	0	17,587	0	17,587	0	9,579,040	0	(352,379)	(352,379)	229,319	XXX	XXX	
8399997. Total - Bonds - Part 4						27,533,951	26,773,540	28,803,671	25,051,679	0	12,147	0	12,147	0	27,882,690	0	(348,738)	(348,738)	409,866	XXX	XXX	
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
8399999. Total - Bonds						27,533,951	26,773,540	28,803,671	25,051,679	0	12,147	0	12,147	0	27,882,690	0	(348,738)	(348,738)	409,866	XXX	XXX	
8999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
8999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799997. Total - Common Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
9799999. Total - Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9999999 - Totals						27,533,951	XXX	28,803,671	25,051,679	0	12,147	0	12,147	0	27,882,690	0	(348,738)	(348,738)	409,866	XXX	XXX	

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open
N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open
N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made
N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To
N O N E

SCHEDULE DL - PART 1
SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date

(Securities lending collateral assets reported in aggregate on Line 10 of the Assets page and not included on Schedules A, B, BA, D, DB and E)

1	2	3	4	5	6	7
CUSIP Identification	Description	Code	NAIC Designation/ Market Indicator	Fair Value	Book/Adjusted Carrying Value	Maturity Date
0599999. Total - U.S. Government Bonds				0	0	XXX
1099999. Total - All Other Government Bonds				0	0	XXX
1799999. Total - U.S. States, Territories and Possessions Bonds				0	0	XXX
2499999. Total - U.S. Political Subdivisions Bonds				0	0	XXX
3199999. Total - U.S. Special Revenues Bonds				0	0	XXX
064176-02-7	BANK OF NOVA SCOTIA HOUSTON		1	211,779	211,871	03/20/2019
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations				211,779	211,871	XXX
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds				211,779	211,871	XXX
4899999. Total - Hybrid Securities				0	0	XXX
5599999. Total - Parent, Subsidiaries and Affiliates Bonds				0	0	XXX
6099999. Subtotal - SVO Identified Funds				0	0	XXX
6199999. Total - Issuer Obligations				211,779	211,871	XXX
6299999. Total - Residential Mortgage-Backed Securities				0	0	XXX
6399999. Total - Commercial Mortgage-Backed Securities				0	0	XXX
6499999. Total - Other Loan-Backed and Structured Securities				0	0	XXX
6599999. Total - SVO Identified Funds				0	0	XXX
6699999. Total Bonds				211,779	211,871	XXX
7099999. Total - Preferred Stocks				0	0	XXX
7599999. Total - Common Stocks				0	0	XXX
7699999. Total - Preferred and Common Stocks				0	0	XXX
000000-00-0	DEUTSCHE BANK AG, LONDON BRANCH			847,484	847,484	06/07/2018
000000-00-0	MORGAN STANLEY			847,484	847,484	06/20/2018
03664B-F5-0	Antalis SA			84,423	84,288	06/05/2018
13606B-UA-2	CIBC NY			135,572	135,597	09/17/2018
13608C-ER-9	CANADIAN IMPERIAL BK OF COMM CP			203,378	203,396	09/17/2018
16115W-EX-1	CHARTA LLC			253,361	252,936	05/31/2018
22549L-JU-0	CREDIT SUISSE, NY			211,871	211,871	09/07/2018
2363F7-F4-5	DANSKE CORP			219,512	219,124	06/04/2018
48802W-3C-0	KELLS FUNDING, LLC			252,928	252,761	06/22/2018
53944R-F4-5	LMA AMERICAS LLC			211,032	210,681	06/04/2018
56274M-EV-0	MANHATTAN ASSET FUNDING COMPANY			84,468	84,301	05/29/2018
60700A-TY-0	MIZUHO BANK LIMITED NEW YORK			169,511	169,497	06/07/2018
65602U-J6-6	NORINCHUKIN BANK LONDON			203,273	203,396	09/04/2018
69033L-2G-3	OCBC NEW YORK			169,513	169,497	06/06/2018
69033L-2S-7	OCBC NEW YORK			84,760	84,748	06/14/2018
76582K-EM-9	RIDGEFIELD FUNDING COMPANY, LLC A			33,801	33,726	05/21/2018
78012U-BM-6	ROYAL BANK OF CANADA			169,458	169,497	09/17/2018
78012U-BU-8	ROYAL BANK OF CANADA NY			169,473	169,497	09/17/2018
83369Y-ZR-0	SOCIETE GENERALE NEW YORK			135,609	135,597	05/31/2018
85325T-ZH-1	STANDARD CHARTERED NY			169,480	169,497	08/22/2018
86564E-6J-0	SUMITOMO MITSUI TRUST BANK LTD,NY			84,749	84,748	05/21/2018
86565B-AW-1	SUMITOMO BK NY			101,706	101,698	06/07/2018
86958J-QM-4	SVEENKA NY			169,522	170,047	01/03/2019
89233H-EM-2	TOYOTA MOTOR CREDIT CORP			84,512	84,346	05/21/2018
8999999. Total - Short-Term Invested Assets (Schedule DA type)				5,096,880	5,095,714	XXX
000000-00-0	CITIGROUP GLOBAL MARKETS INC			1,101,729	1,101,729	04/02/2018
000000-00-0	ML PIERCE FENNER & SMITH INC			80,166	80,166	04/02/2018
000000-00-0	NATIXIS NEW YORK BRANCH			847,484	847,484	04/02/2018
000000-00-0	PERSHING LLC			847,484	847,484	04/02/2018
000000-00-0	CITIGROUP GLOBAL MARKETS INC			271,195	271,195	04/02/2018
000000-00-0	ABBEY NATL TSY SERV STAMFORD			169,497	169,497	04/02/2018
000000-00-0	LANDESBANK HESSEN THUR LDN			84,748	84,748	04/03/2018
000000-00-0	BANK OF MONTREAL, LONDON			338,993	338,993	04/04/2018
000000-00-0	SHINKIN CENTRAL BANK			135,597	135,597	04/02/2018
000000-00-0	SHIZUOKA BANK NEW YORK			237,295	237,295	05/09/2018
05582W-X5-8	BNP PARIBAS, NY			67,798	67,799	05/11/2018
16706B-3C-0	THE CHIBA BANK LTD NEW YORK BRANCH			169,523	169,497	05/18/2018
16891U-5J-6	CHINA CONSTRUCTION BANK CORP NY			84,740	84,748	04/20/2018
45581C-DL-6	IND AND COM BK OF CHINA LTD, NY BR			84,657	84,476	04/20/2018
55607L-EG-5	MACQUARIE BANK LTD			67,627	67,460	05/16/2018
82124W-EM-8	SHEFFIELD RECEIVABLE CORP			118,300	118,035	05/21/2018
9199999. Total - Cash Equivalents (Schedule E Part 2 type)				4,706,833	4,706,203	XXX
9999999 - Totals				10,015,492	10,013,788	XXX

General Interrogatories:

1. Total activity for the year Fair Value \$9,945,323 Book/Adjusted Carrying Value \$9,954,646
2. Average balance for the year Fair Value \$5,687,651 Book/Adjusted Carrying Value \$5,687,116
3. Reinvested securities lending collateral assets book/adjusted carrying value included in this schedule by NAIC designation:
- NAIC 1 \$211,871 NAIC 2 \$0 NAIC 3 \$0 NAIC 4 \$0 NAIC 5 \$0 NAIC 6 \$0

STATEMENT AS OF MARCH 31, 2018 OF THE Empire HealthChoice HMO, Inc.

SCHEDULE DL - PART 2
SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date

(Securities lending collateral assets included on Schedules A, B, BA, D, DB and E and not reported in aggregate on Line 10 of the Assets page)

1 CUSIP Identification	2 Description	3 Code	4 NAIC Designation/ Market Indicator	5 Fair Value	6 Book/Adjusted Carrying Value	7 Maturity Date
NONE						
9999999 - Totals						XXX

General Interrogatories:

1. Total activity for the year
2. Average balance for the year

Fair Value \$ Book/Adjusted Carrying Value \$
 Fair Value \$ Book/Adjusted Carrying Value \$

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6	7	8	
					First Month	Second Month	Third Month	
JP Morgan Chase Bank, N.A. New York, NY					3,825	1,394,050	2,210,654	XXX
Bank of America Hartford, CT					1,097,318	999,998	1,002,245	XXX
Bank of America Atlanta, GA					(198,800)	(331,245)	(56,630)	XXX
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX						XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	902,343	2,062,803	3,156,269	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	902,343	2,062,803	3,156,269	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash	XXX	XXX	0	0	902,343	2,062,803	3,156,269	XXX

STATEMENT AS OF MARCH 31, 2018 OF THE Empire HealthChoice HMO, Inc.

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
NONE								
8899999 - Total Cash Equivalents								